



# Tumor Immunity

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# Tumor vs Cancer

A **tumor**, also known as a **neoplasm**, (from Ancient Greek *νεο-neo-* "**new**" and *πλάσμα plasma* "formation, creation") is an abnormal mass of tissue which may be solid or fluid-filled.

A tumor does not mean cancer - tumors can be benign (**not cancerous**), pre-malignant (**pre-cancerous**), or malignant (**cancerous**).

**In modern medicine, the term neoplasm means that it has formed a lump.**

**In the past, the term tumour was used differently, referring to a lump of any cause. Some neoplasms do not cause a lump (such as **nevi**, not progressive).**

**Tumor **does not necessarily** pose a health threat.**

**Uncontrolled growth produces a **tumor /neoplasm**.**

**A tumor that grows indefinitely and often spreads (**metastasis**) is called **malignant**--also called **cancer**.**

**A tumor that is not capable of indefinite growth:**

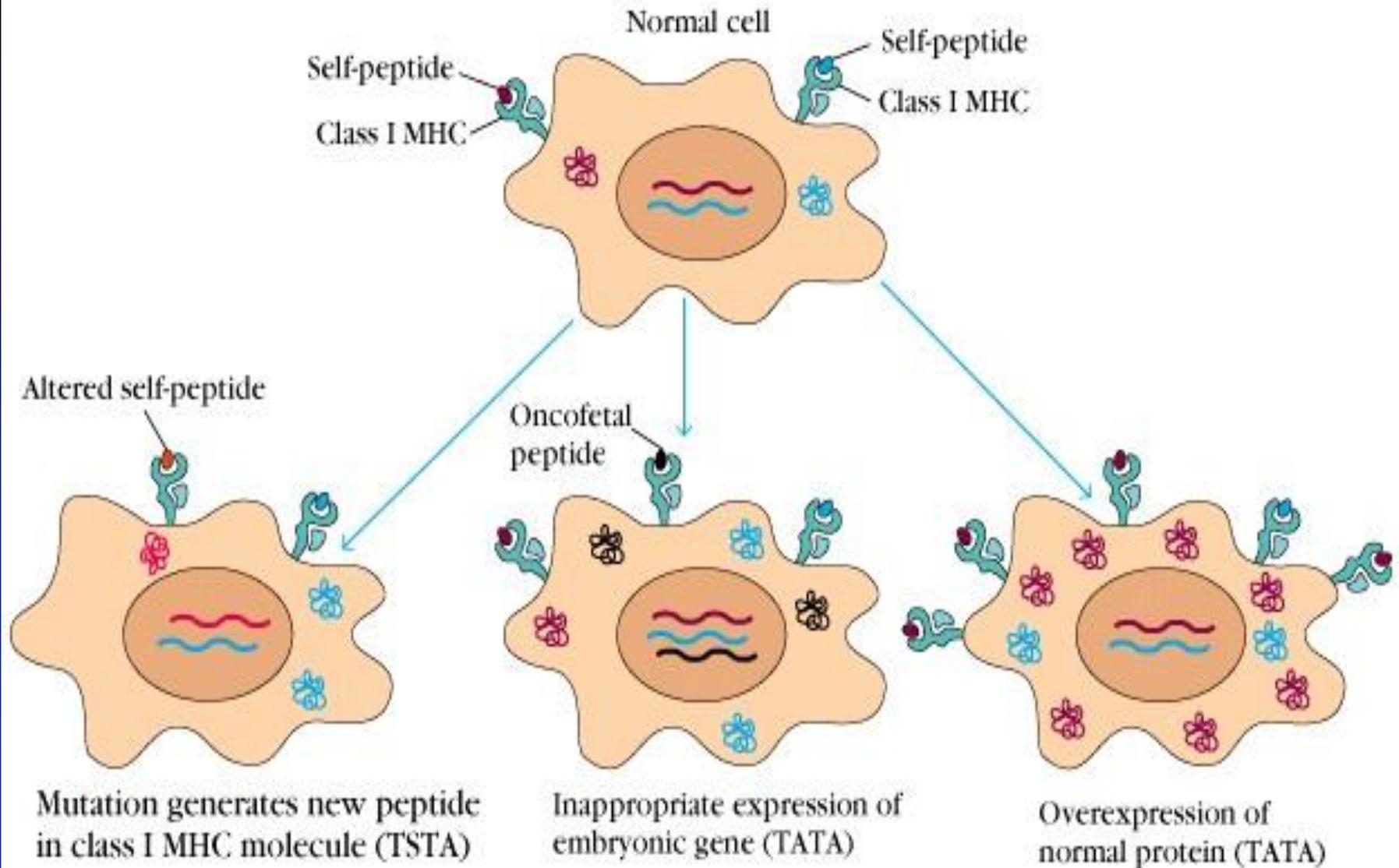
**Benign:** does **not** kill host

**Malignant:** kills host

**WHO** classifies neoplasms into four main groups:

- 1. benign neoplasms**
- 2. in situ neoplasms**
- 3. malignant neoplasms (cancer)**
- 4. neoplasms of uncertain or unknown behaviours**

# Tumor Antigens



**TABLE 22-4 SOME TUMOR ASSOCIATED-ANTIGENS UNDER EXAMINATION AS POTENTIAL TARGETS FOR MONOCLONAL ANTIBODY THERAPY**

Tumor antigen	Tumor type	Target antigen
<b>Lymphoid cell-surface markers</b>		
T-cell marker	T-cell leukemia/lymphoma	CD5
B-cell marker	B-cell lymphoma	CD20
Hematopoietic-cell marker	Acute myeloblastic leukemia	CD45
Anti-idiotype	B-cell lymphoma	Immunoglobulin
<b>Nonlymphoid tissue markers</b>		
<b>Cell Surface Antigens</b>		
Carcinoembryonic antigen (CEA)	Colon cancer (some others)	Glycoprotein
MUC1	Breast cancer	Glycoprotein
Gangliosides such as GD2 and GD3	Neuroectodermal tumors	Glycolipids associated with neural tissue
<b>Growth factor receptors</b>		
Epidermal growth-factor receptor (EGFR)	Some lung, head, neck, and breast tumors	EGF-binding cell surface protein
HER2 (an EGF-like receptor)	Breast and ovarian tumors	Cell surface EGF-binding protein with homology to EGFR

SOURCE: Adapted from Scott and Welt, 1997, *Curr. Opin. Immunol.* 9:717.

**TABLE 22-5 TUMOR-ASSOCIATED AND TUMOR-SPECIFIC ANTIGEN PEPTIDES RECOGNIZED BY HUMAN T CELLS**

Human tumor	Protein	Peptide
Many melanomas, esophageal carcinomas, non small-cell lung carcinomas and hepatocellular carcinomas	MAGE-1	EADPTGHSY and SAYGEPRKL
Melanoma	Tyrosinase	MLLAVLYCL, YMNGTMSQV, YMDGTMSQV, and others
Colon cancer	Carcinoembryonic antigen (CEA)	YLSGANLNL
Breast and ovarian cancer	HER2/NEU	KIFGSLAFL
Head and neck squamous-cell carcinoma	Caspase 8	FPSDSWCYF
Chronic myeloid leukemia	<i>bcr-abl</i> fusion protein (product of a fusion of an Ig gene with the <i>abl</i> gene)	ATGFKQSSKALQRPVAS
Prostatic cancer	PSA	FLTPKKKLQCV and VISNDVCAQV

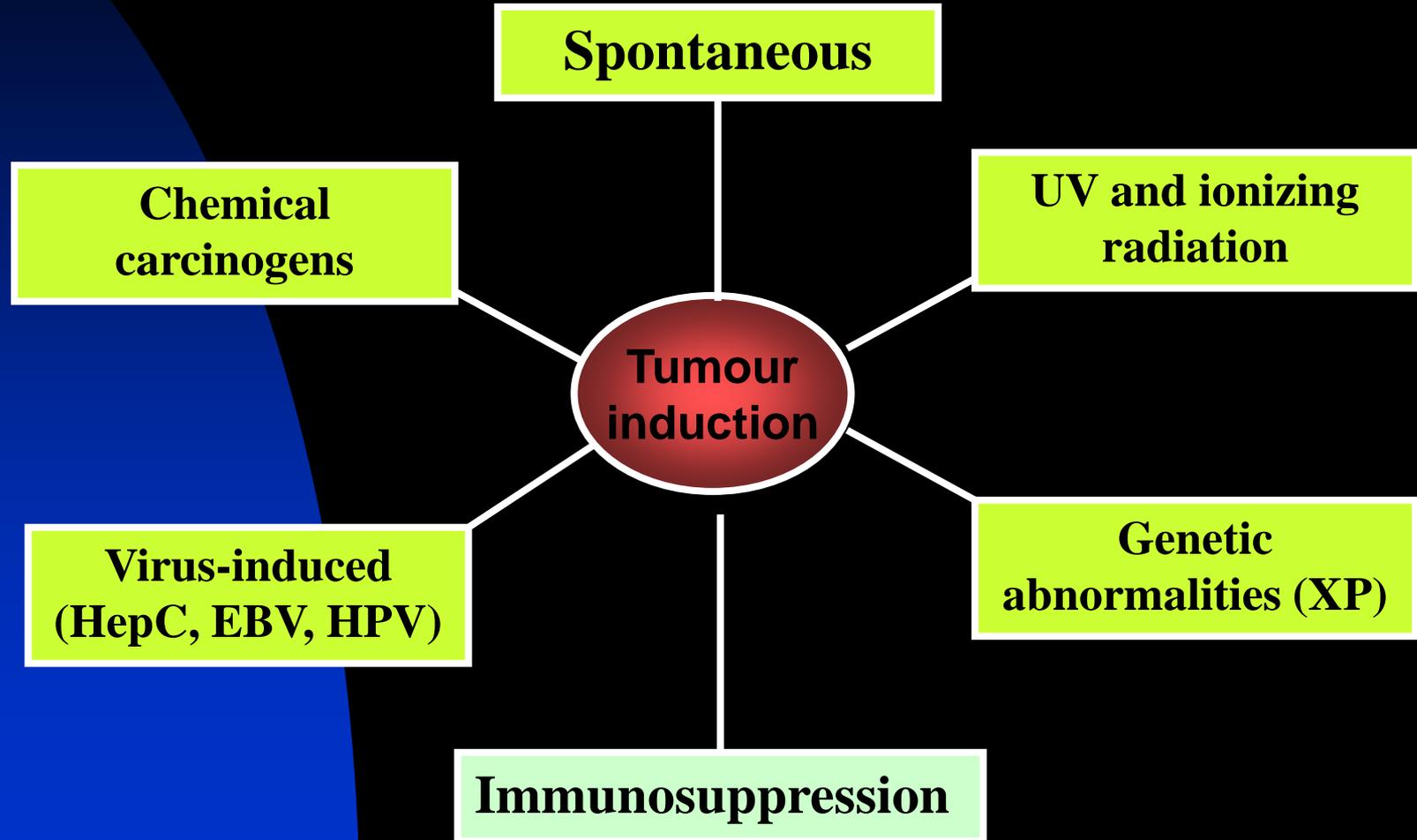
SOURCE: Adapted from B Van Den Eynde and P van der Bruggen, 1996, *Curr. Opin. Immunol.* 9:684.

# Cancer and the Immune System

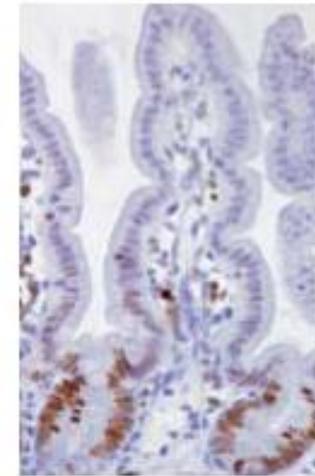
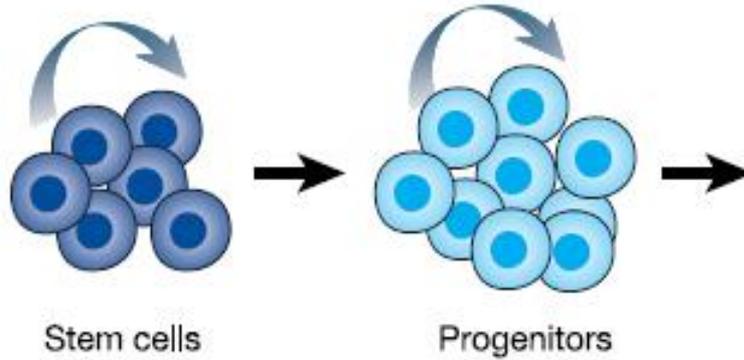
- **Is Cancer a disease caused by:**
  - ◆ Genetic mutation or translocation or dysregulation?
  - ◆ Infectious agent?
  - ◆ Immune deficiency?



# Causative agents

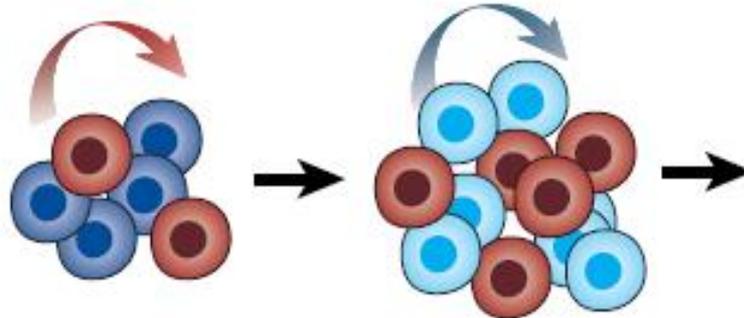


Normal Wnt signalling

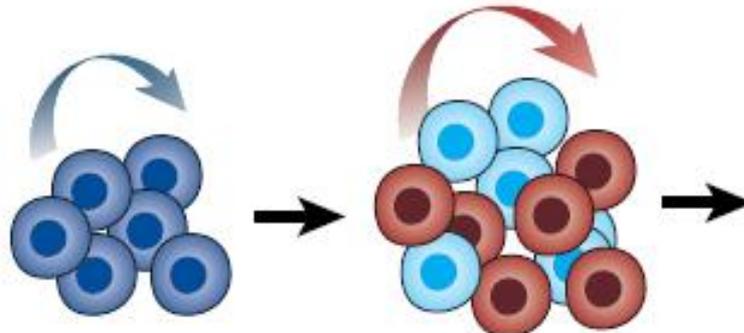


Normal tissue

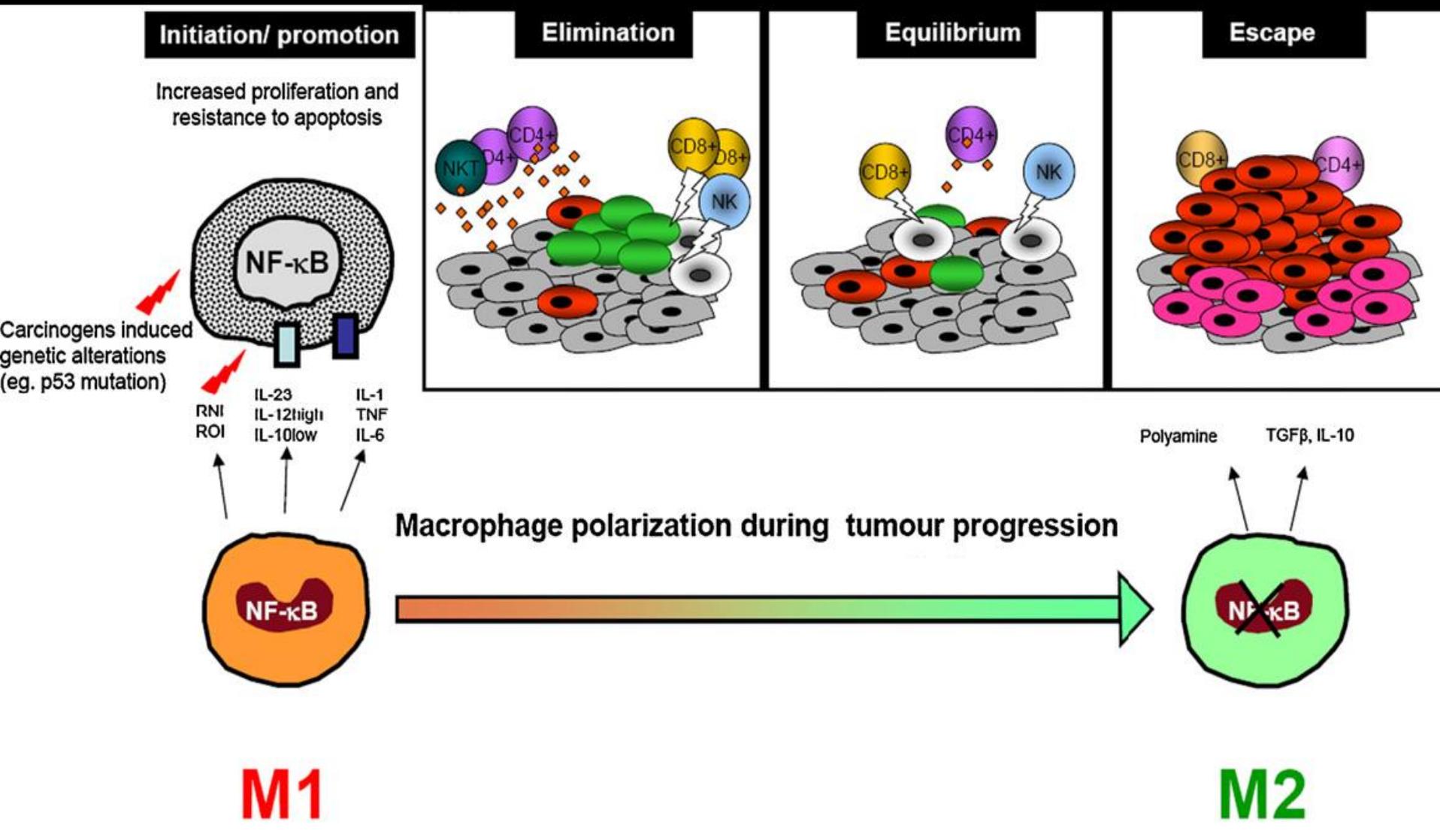
Dysregulated Wnt signalling in stem cells



Dysregulated Wnt signalling in progenitor cells



Cancer



# Cancer Immunotherapy

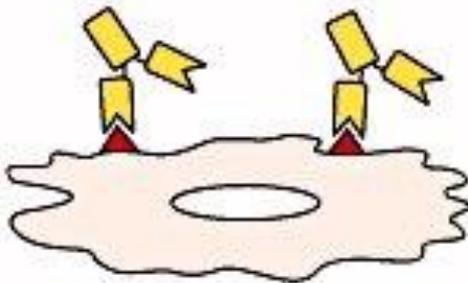
- Manipulation of co-stimulatory signals.
- Enhancement of APC activity.
- Cytokine Therapy
  - Interferons
  - Tumor Necrosis Factor
- Lymphokine activated killer cells(**LAK** cells)
- Antibodies and immunotoxins.
- Cancer Vaccines

# Cancer Immunotherapy with tumor-specific antibody

Tumor-specific antibody



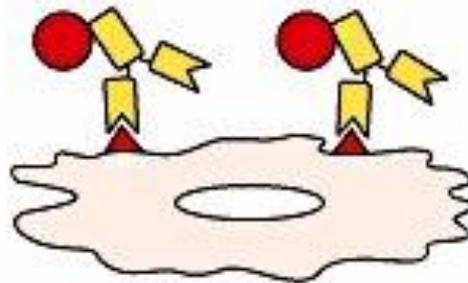
Antibodies bind to the tumor cell and can activate NK cells to kill the tumor cell



Tumor-specific antibody conjugated to toxin



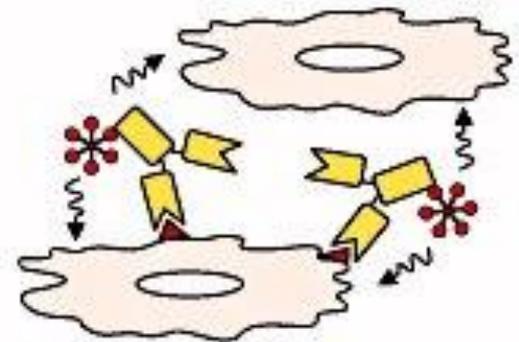
Antibody-toxin conjugates bind to the tumor cell and are internalized, killing the cell

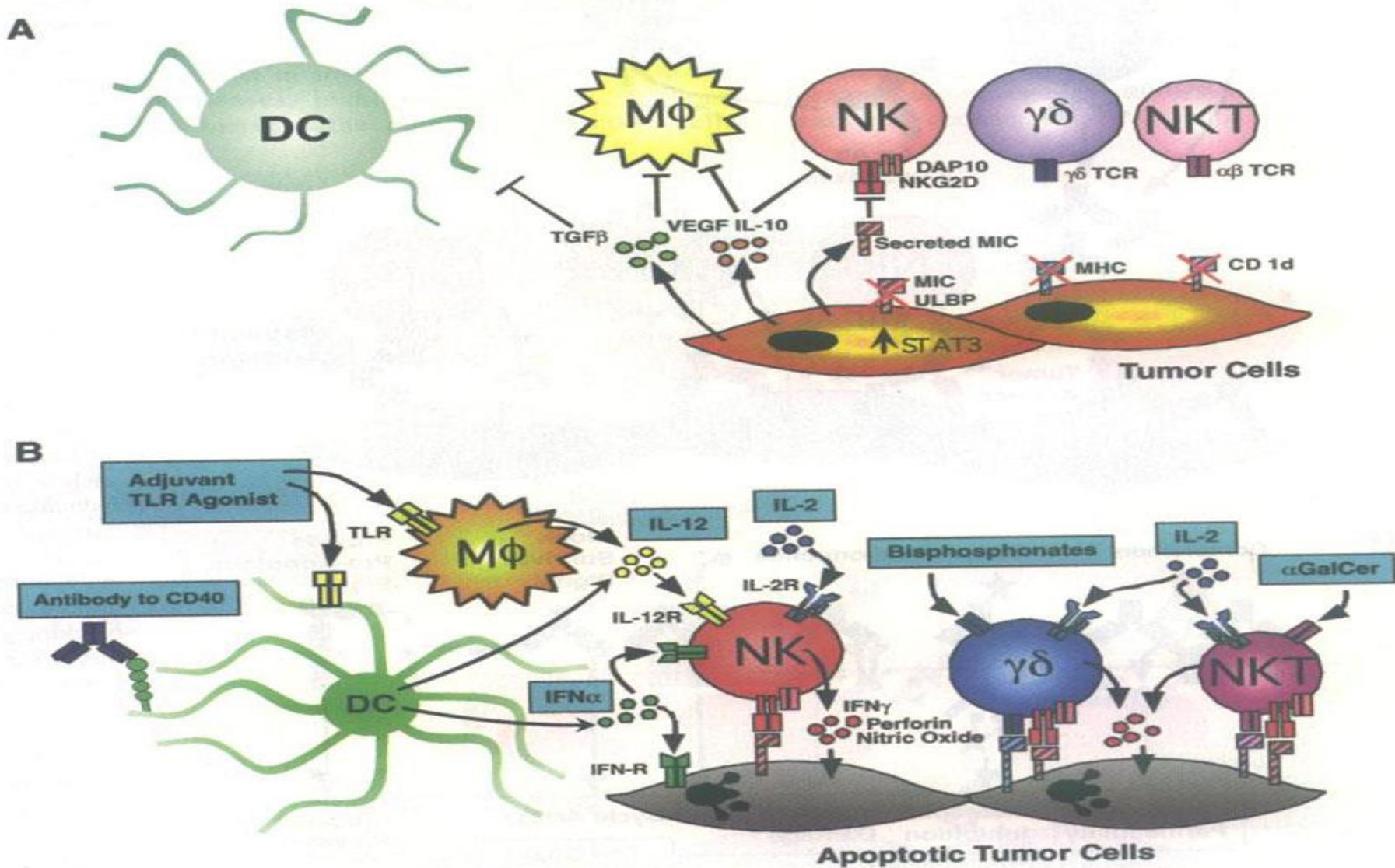


Tumor-specific antibody conjugated to radionuclide



Radioactive antibody binds to the tumor cell. Radiation kills the tumor cell and neighboring tumor cells





**Fig. 1.** Manipulating the innate immune response. (A) Tumor cells can avoid activating innate responses by producing inhibitory cytokines and down-regulating or secreting ligands for activating receptors. M $\phi$ , macrophage; TCR, T cell receptor. (B) Activation of innate responses can be enhanced by administering adjuvants, ligands for costimulatory proteins, cytokines, or drugs that directly trigger innate immune cells.  $\alpha$ GalCer,  $\alpha$ -galactosylceramide.

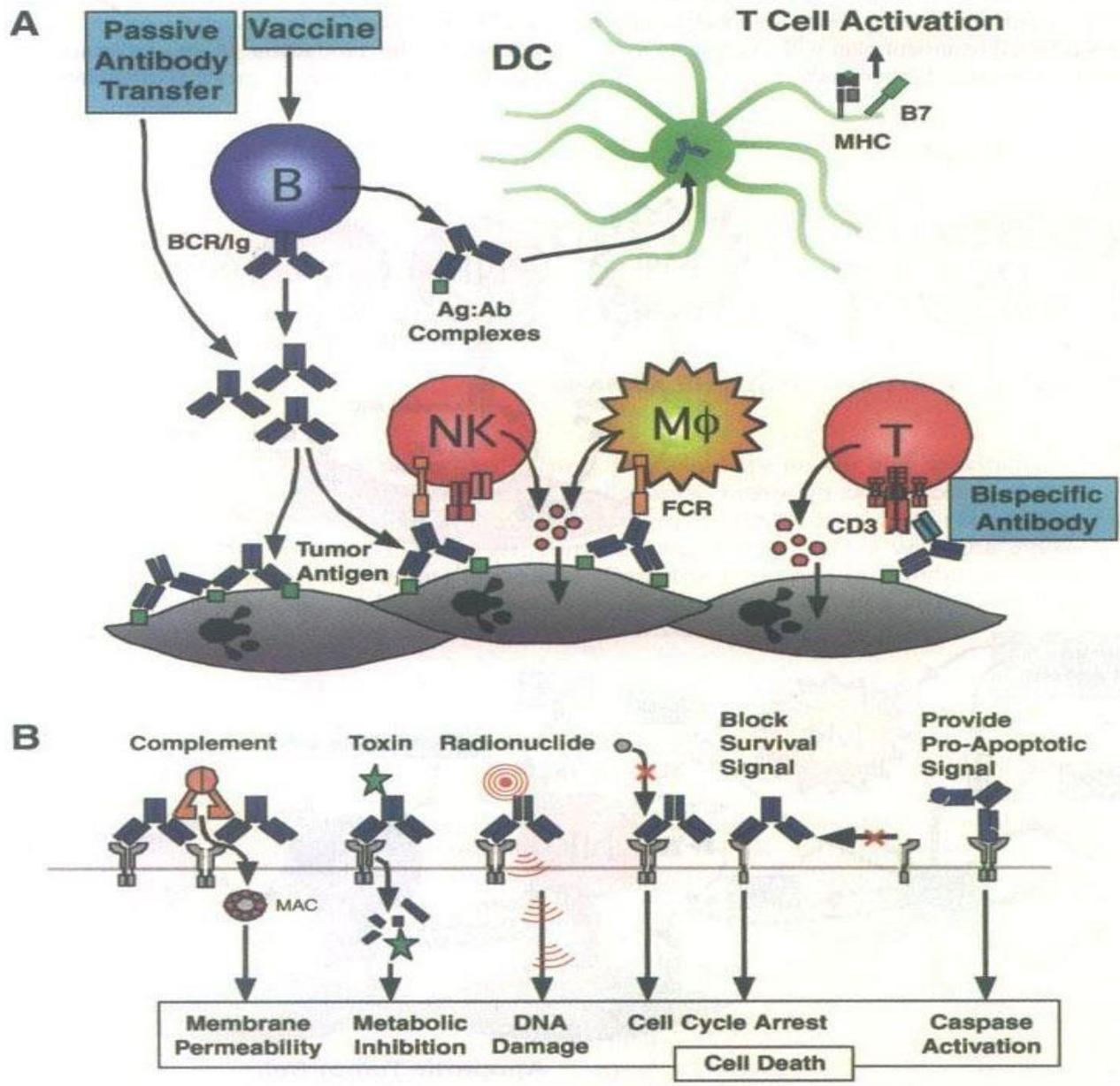
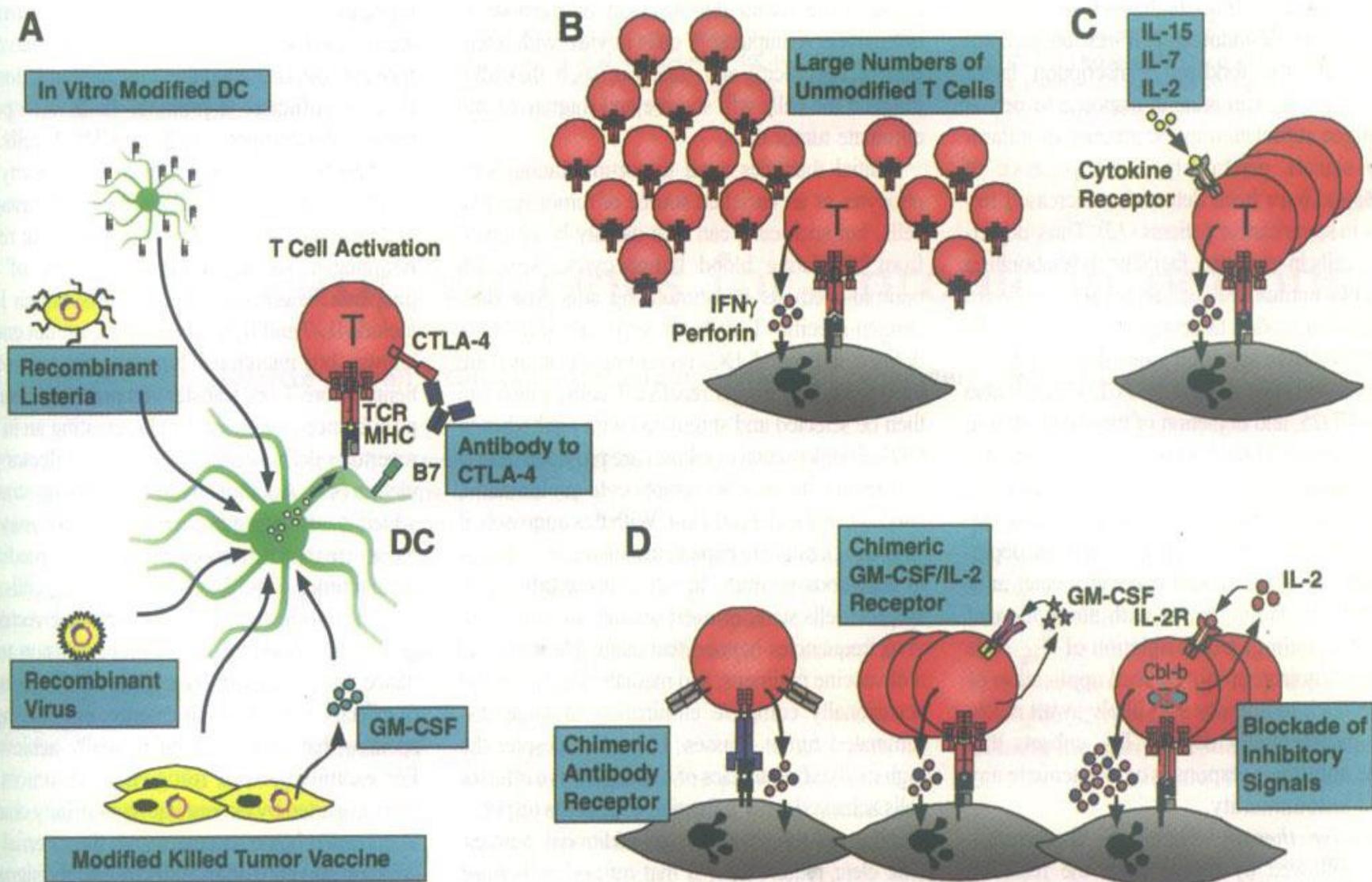
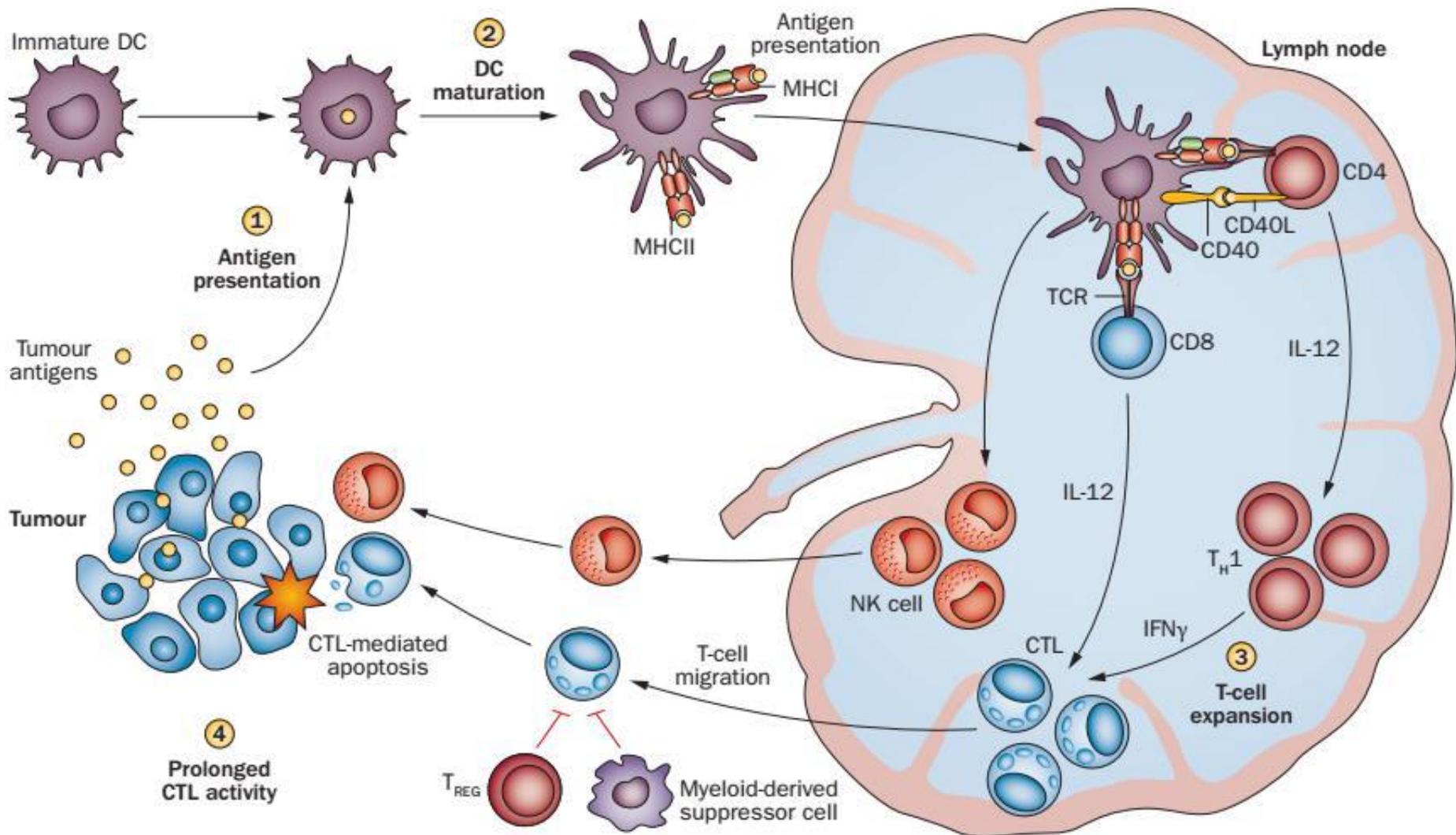


Fig. 2. Manipulating humoral immunity. (A) B cell responses can be augmented by vaccination with tumor antigens to induce antibodies that kill tumors or promote antigen presentation. Passively transferred mAbs or engineered bispecific antibodies can bind to tumors and activate effector cells. BCR/Ig, B cell receptor; Ag:Ab, antigen:antibody; MAC, membrane attack complex. (B) Modified and unmodified mAbs can kill tumor cells by many mechanisms independent of recruitment of effector cells.

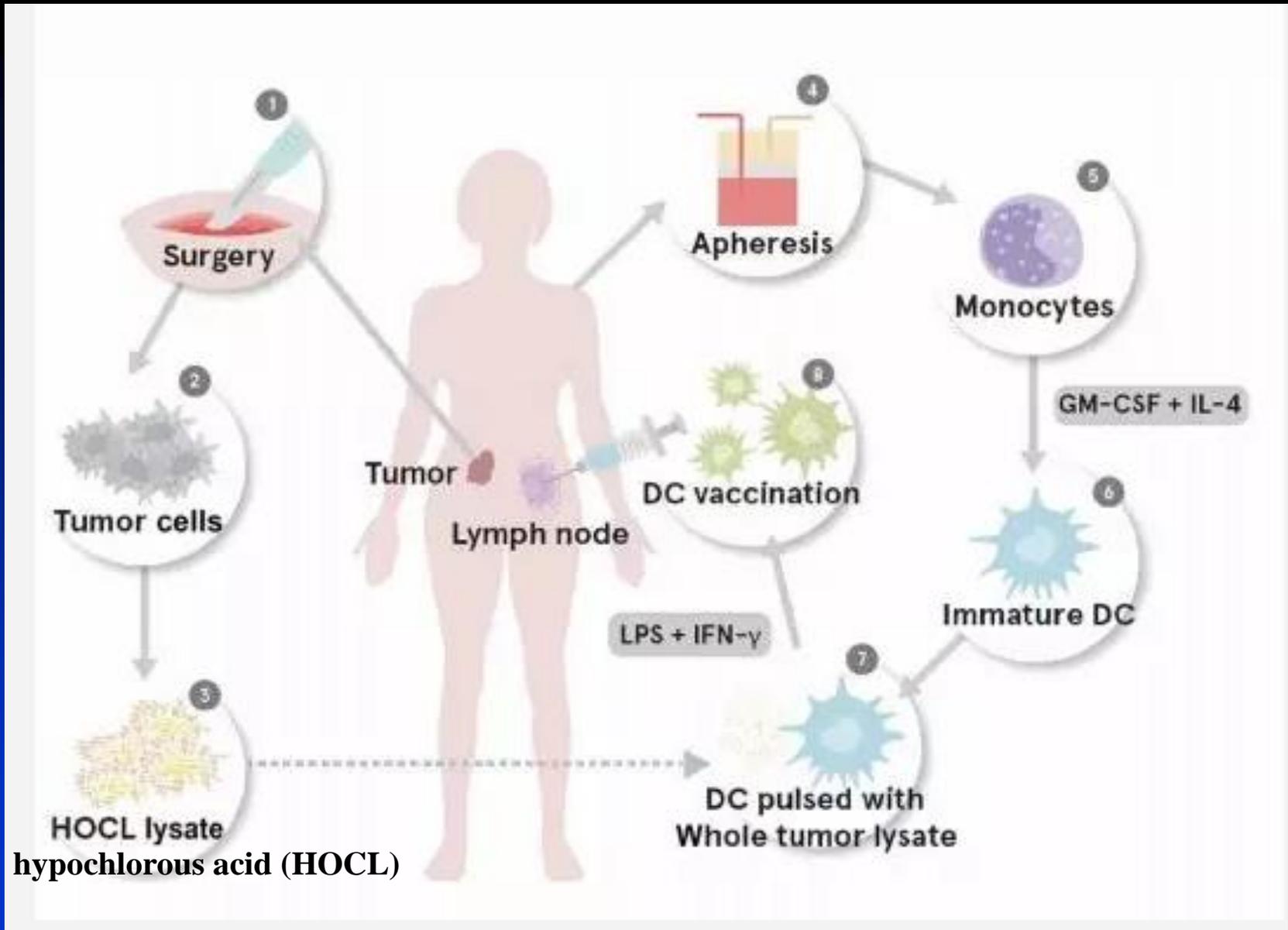


**Fig. 3.** Manipulating T cell immunity. T cell responses can be augmented by (A) stimulation with immunogenic vaccines, pro-inflammatory cytokines, or antibodies that block negative signals, (B) adoptive transfer of large numbers of tumor-reactive T cells generated in vitro, or (C) administration of cytokines. (D) T cells can be genetically modified before adoptive transfer to acquire novel receptors for tumor recognition or regulated autocrine proliferative signals, or to block inhibitory signals that limit T cell responses.



Steps in the development of a cellular immune response against tumour-associated antigen.

# Scheme of **DC vaccine** production and administration



We conducted a pilot clinical trial testing a personalized vaccine generated by autologous dendritic cells (**DCs**) pulsed with oxidized autologous whole-tumor cell lysate (**OCDC**), which was injected intranodally in **platinum-treated**, immunotherapy-naïve, recurrent ovarian cancer patients. OCDC was administered alone (cohort 1,  $n = 5$ ), in combination with **bevacizumab** (cohort 2,  $n = 10$ ), or bevacizumab plus low-dose intravenous **cyclophosphamide** (cohort 3,  $n = 10$ ) until disease progression or vaccine exhaustion.

**A total of 392 vaccine doses were administered without serious adverse events. Vaccination induced T cell responses to autologous tumor antigen, which were associated with significantly prolonged survival.**

**Vaccination also amplified T cell responses against mutated neoepitopes derived from nonsynonymous somatic tumor mutations, and this included**

Tanyi JL, et al. Personalized cancer vaccine effectively mobilizes antitumor T cell immunity in ovarian cancer.

**Sci Transl Med. 2018 ;10(436)**

priming of T cells against previously unrecognized neoepitopes, as well as novel T cell clones of markedly higher avidity against previously recognized neoepitopes. We conclude that the **use of oxidized whole-tumor lysate DC vaccine is safe and effective in eliciting a broad antitumor immunity,** including private neoantigens, and warrants further clinical testing.

2020/5/14

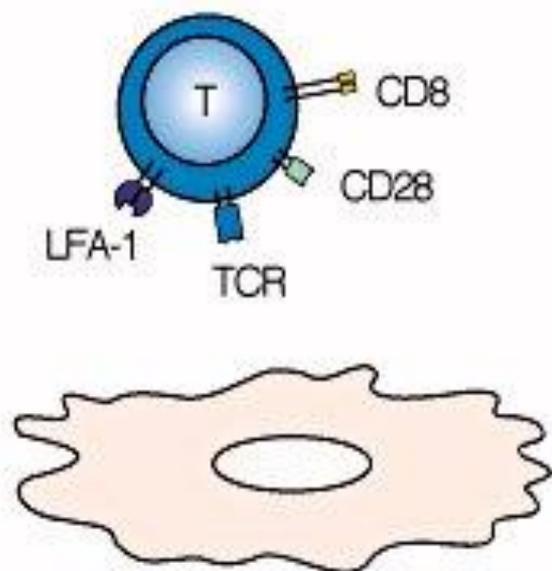
Tanyi JL, et al. [\\_Sci Transl Med. 2018 ;10\(436\)](#)



## Mechanisms whereby tumors escape immune recognition

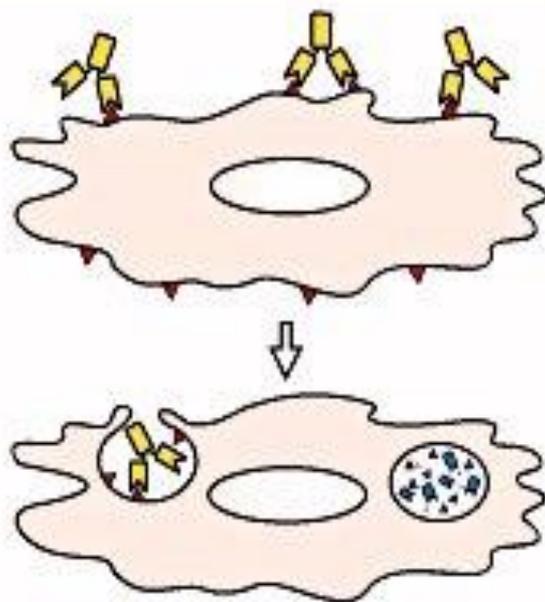
### Low immunogenicity

No peptide:MHC ligand  
No adhesion molecules  
No co-stimulatory molecules



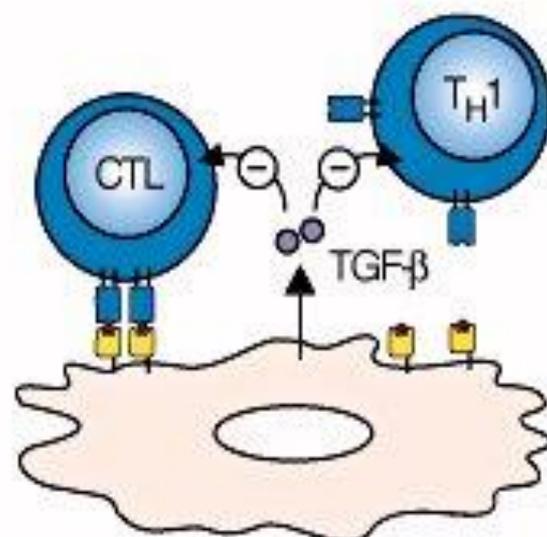
### Antigenic modulation

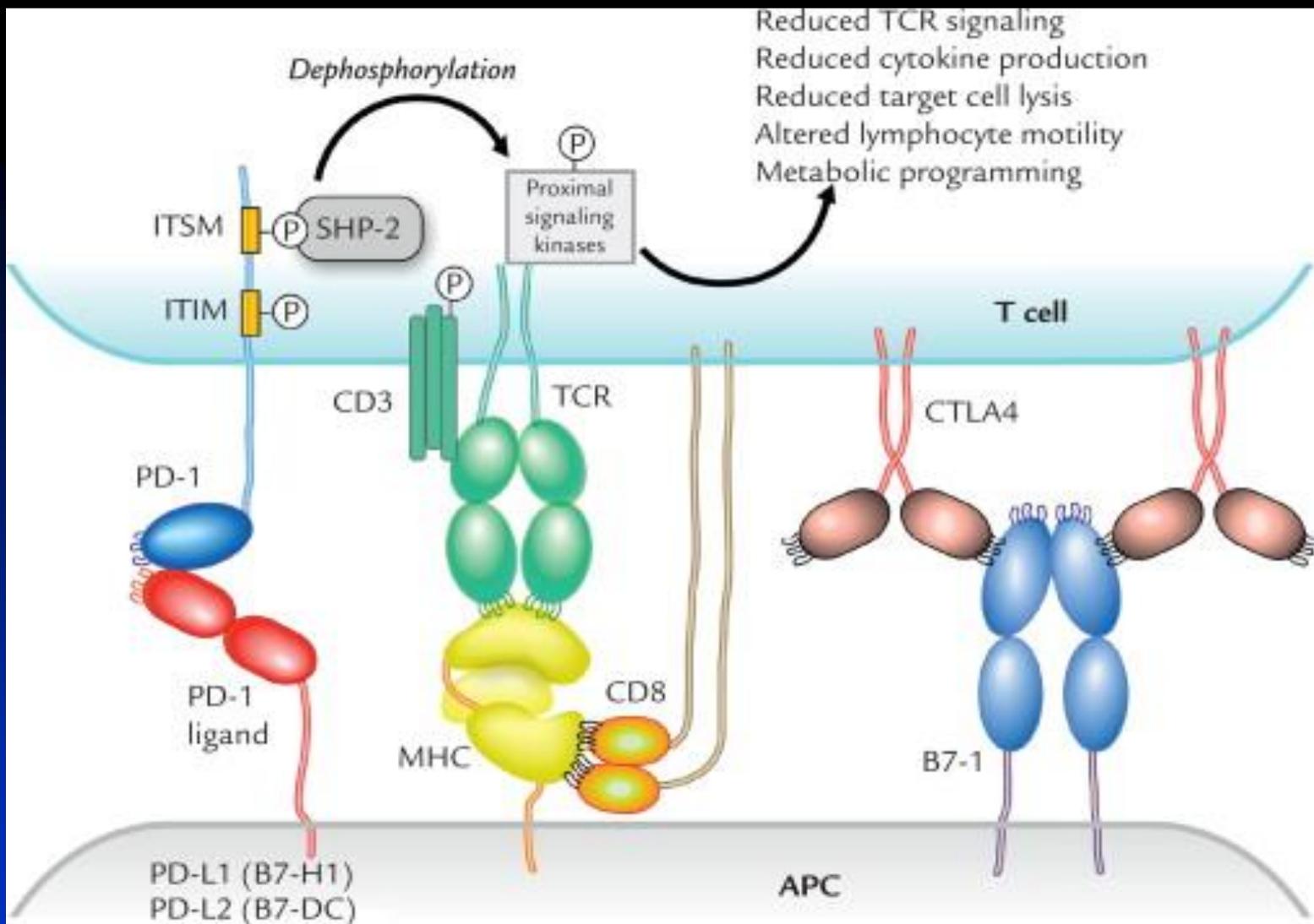
Antibody to tumor cell-surface antigens may induce endocytosis and degradation of the antigen. Immune selection of antigen-loss variants



### Tumor-induced immune suppression

Factors (eg TGF- $\beta$ ) secreted by tumor cells inhibit T cells directly





**The interaction of PD-1 and PD-L1 reduces T-lymphocyte function.**

ITIM = immunoreceptor tyrosine-based inhibitory motif; ITSM = immunoreceptor tyrosine-based switch motif; P = phosphorylation site; PD = programmed cell death protein;

SHP = Src homology 2 domain-containing phosphatase.

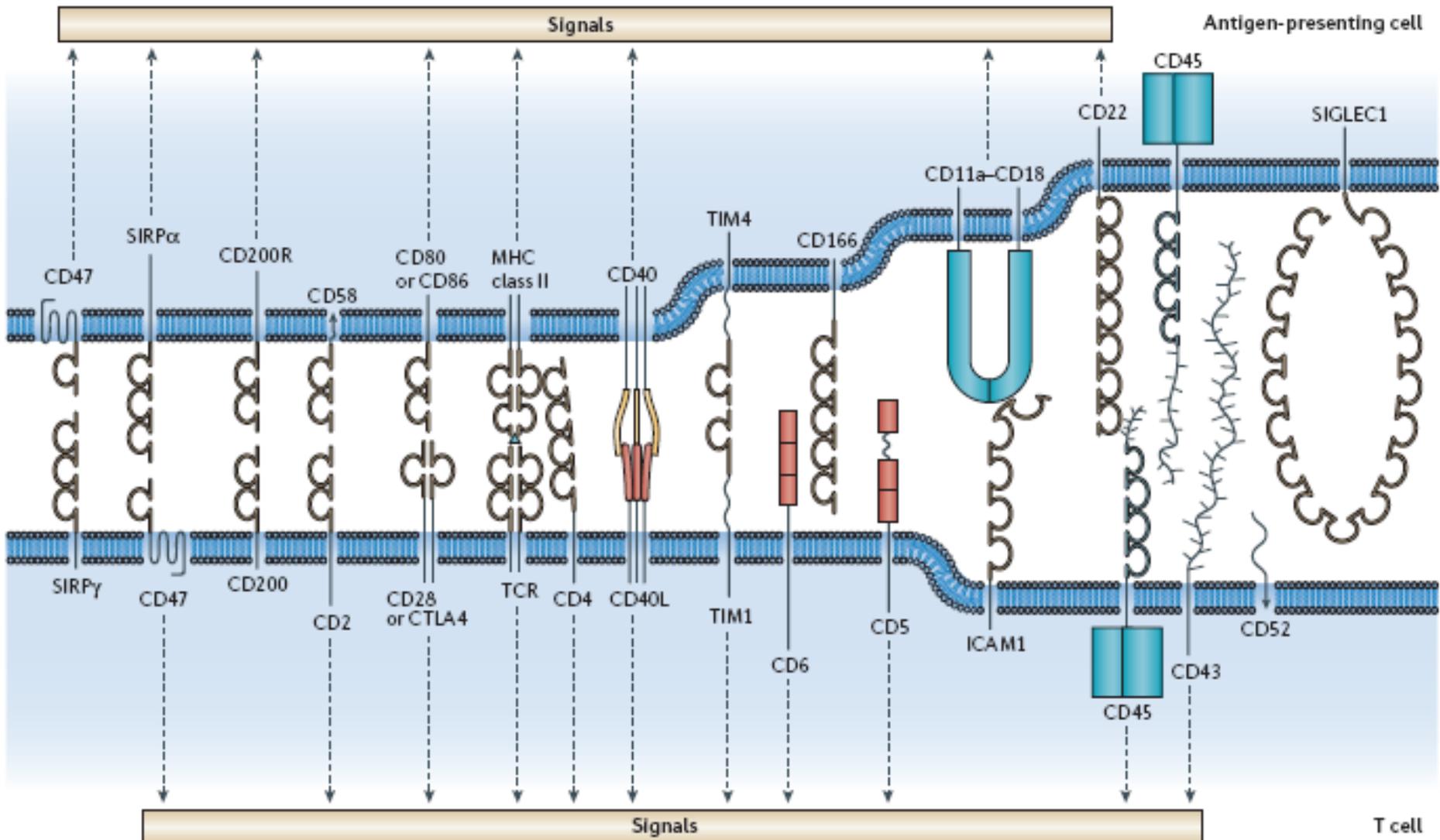
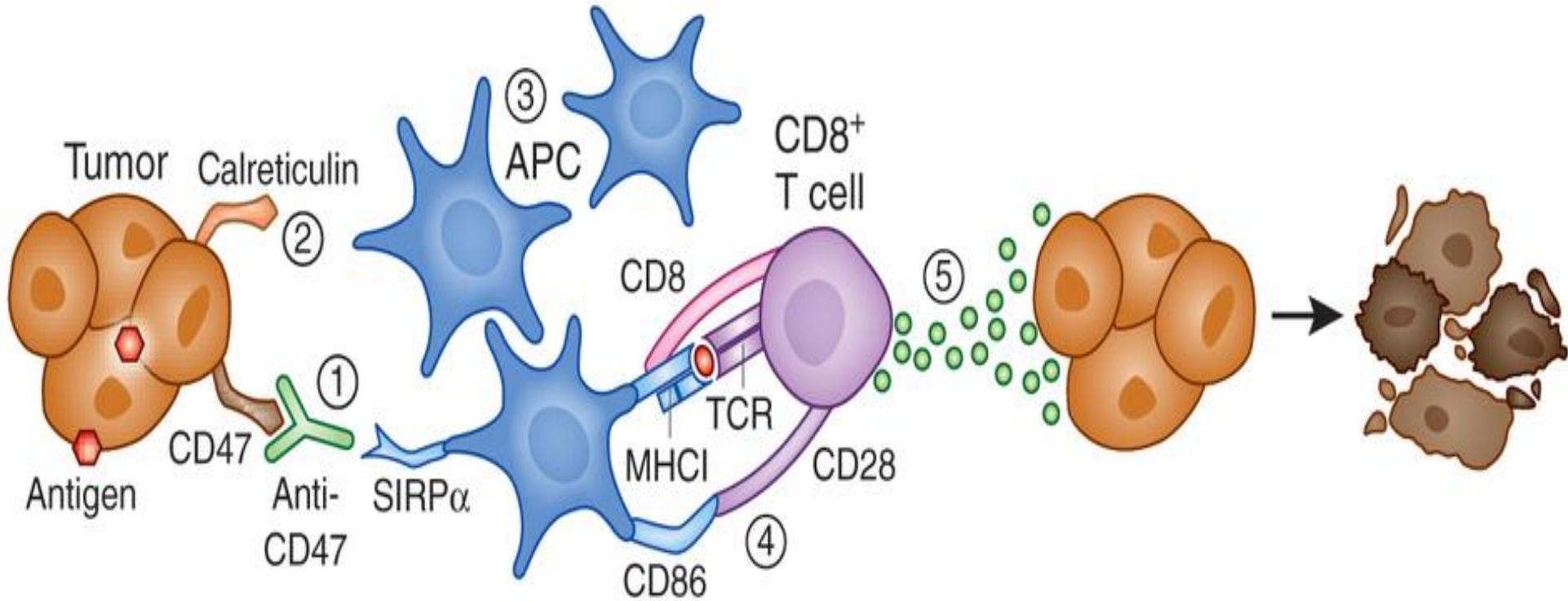


Figure 2 | The topology of interactions between an antigen-presenting cell and a T cell. Some of the interactions between plasma-membrane proteins on a T cell and an antigen-presenting cell are shown. The molecules are drawn to their relative approximate sizes based on electron microscopy and X-ray crystallography data, together with predictions from domain organization<sup>45,46</sup>. CD40L, CD40 ligand; CD200R, CD200 receptor; CTLA4, cytotoxic T-lymphocyte antigen 4; ICAM, intercellular adhesion molecule; SIGLEC1, sialic-acid-binding immunoglobulin-like lectin; SIRP, signal-regulatory protein; TCR, T-cell receptor; TIM, T-cell immunoglobulin domain and mucin domain.



**Xiaojuan Liu, et.al. CD47 blockade triggers T cell-mediated destruction of immunogenic tumors** **Nat med.**2015;21(10):1209-15.

**Vonderheide RH. CD47 blockade as another immune checkpoint therapy for cancer** **Nat Med.** 2015 ;21(10):1122-3.

# Enhancing Immunogenicity of Tumors

CK genes (IL-2,IL-12, **IL-21**,**GM-CSF**), membrane surface molecular genes (B7,MHC,**GPI**)



A. immunization i.m with **GM-CSF** recombinant B. immunization i.m with blank plasmid



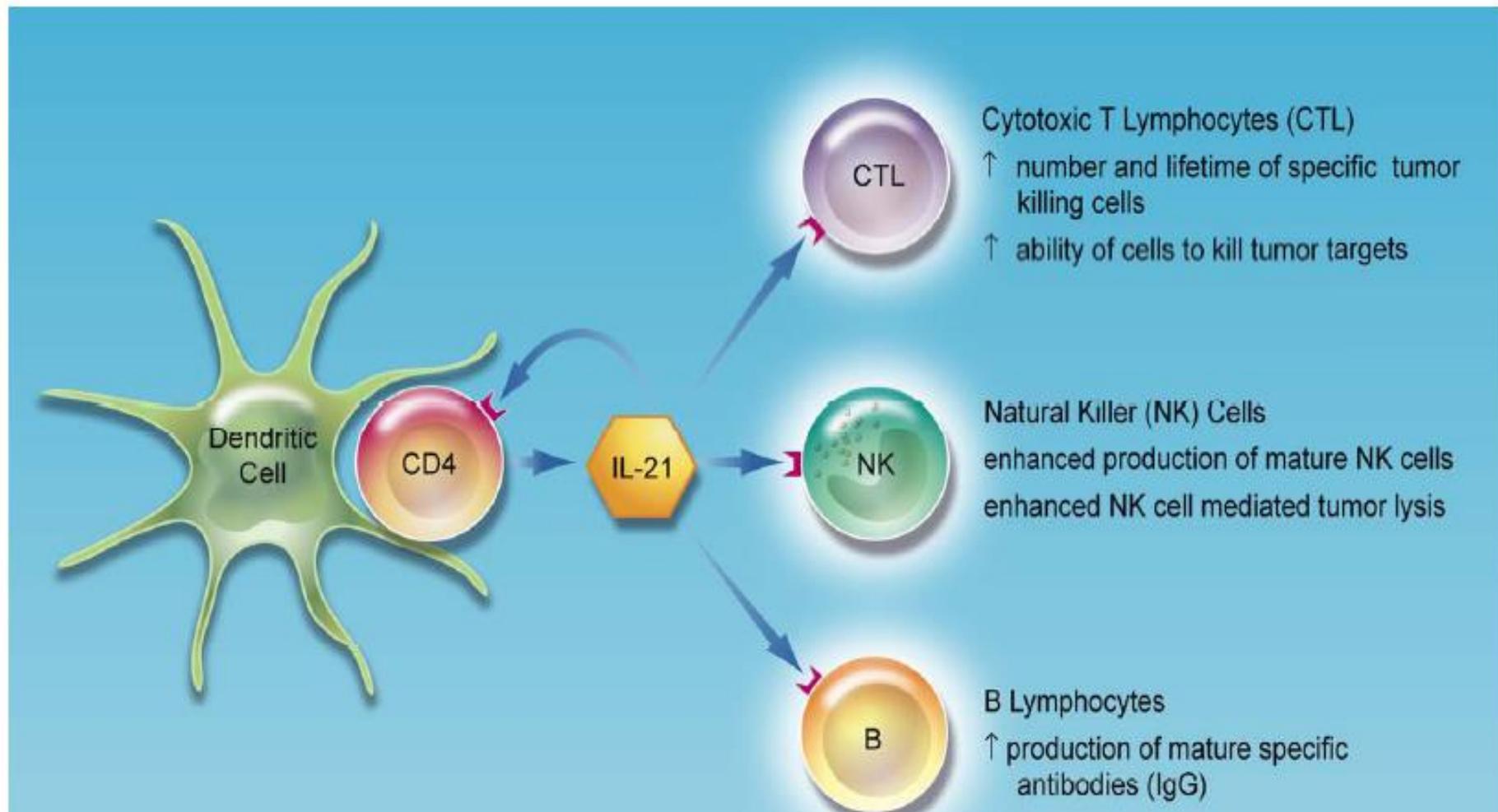
C. immunization **s.c** with blank plasmid D. immunization s.c with **GM-CSF** recombinant

**Fig.1 Anti-tumor effect was enhanced by immunization with GM-CSF recombinant.**

After Balb/c mice were inoculated with  $5 \times 10^5$  SP2/0 cells 12 days , tumor-bearing mice

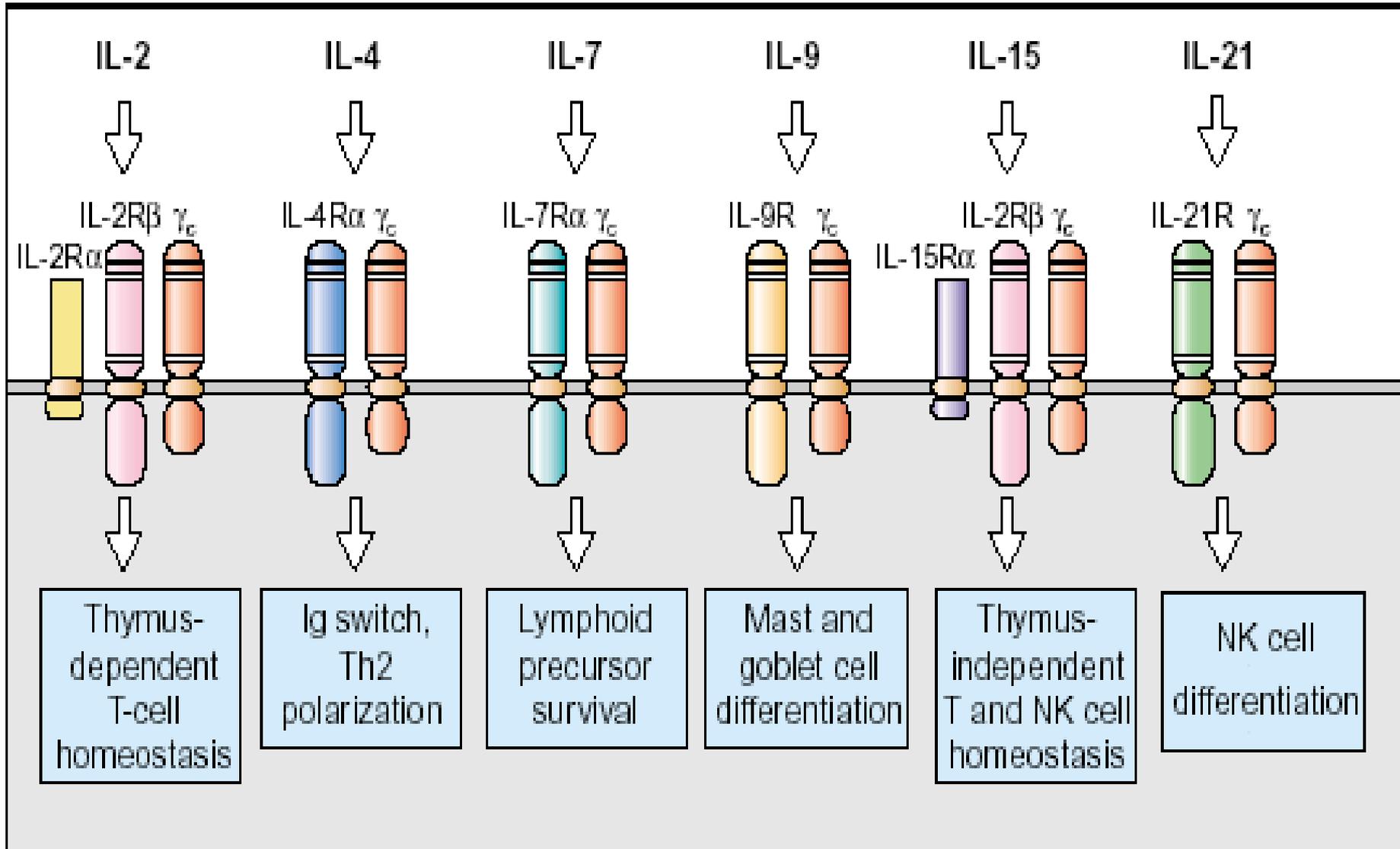
were immunized i.m (A) or s.c(D) with GM-CSF recombinant or blank plasmid(B) and (C)

# IL-21 Elicits Pleiotropic Immune Modulation



# $\gamma_c$ -dependent cytokines network

Figure 1



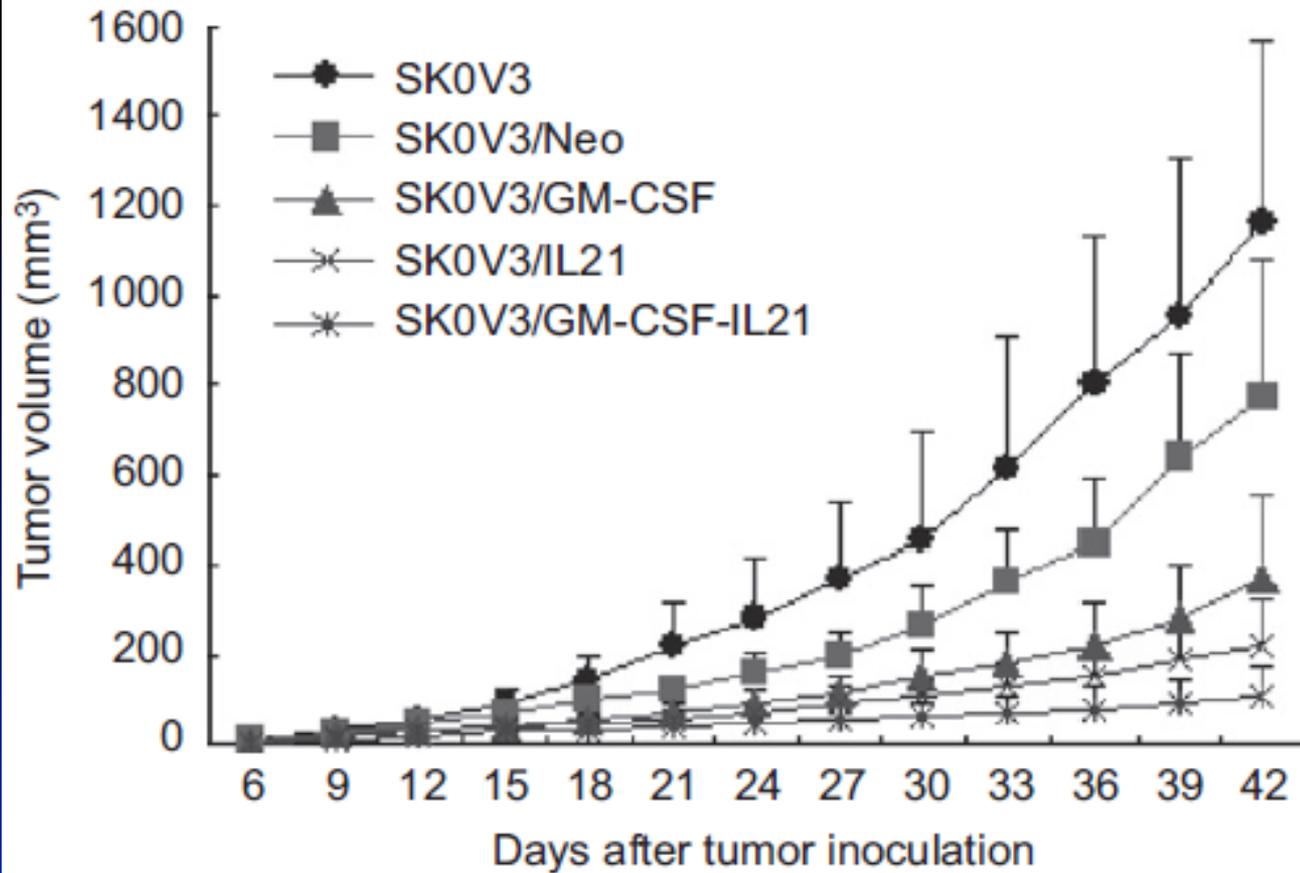
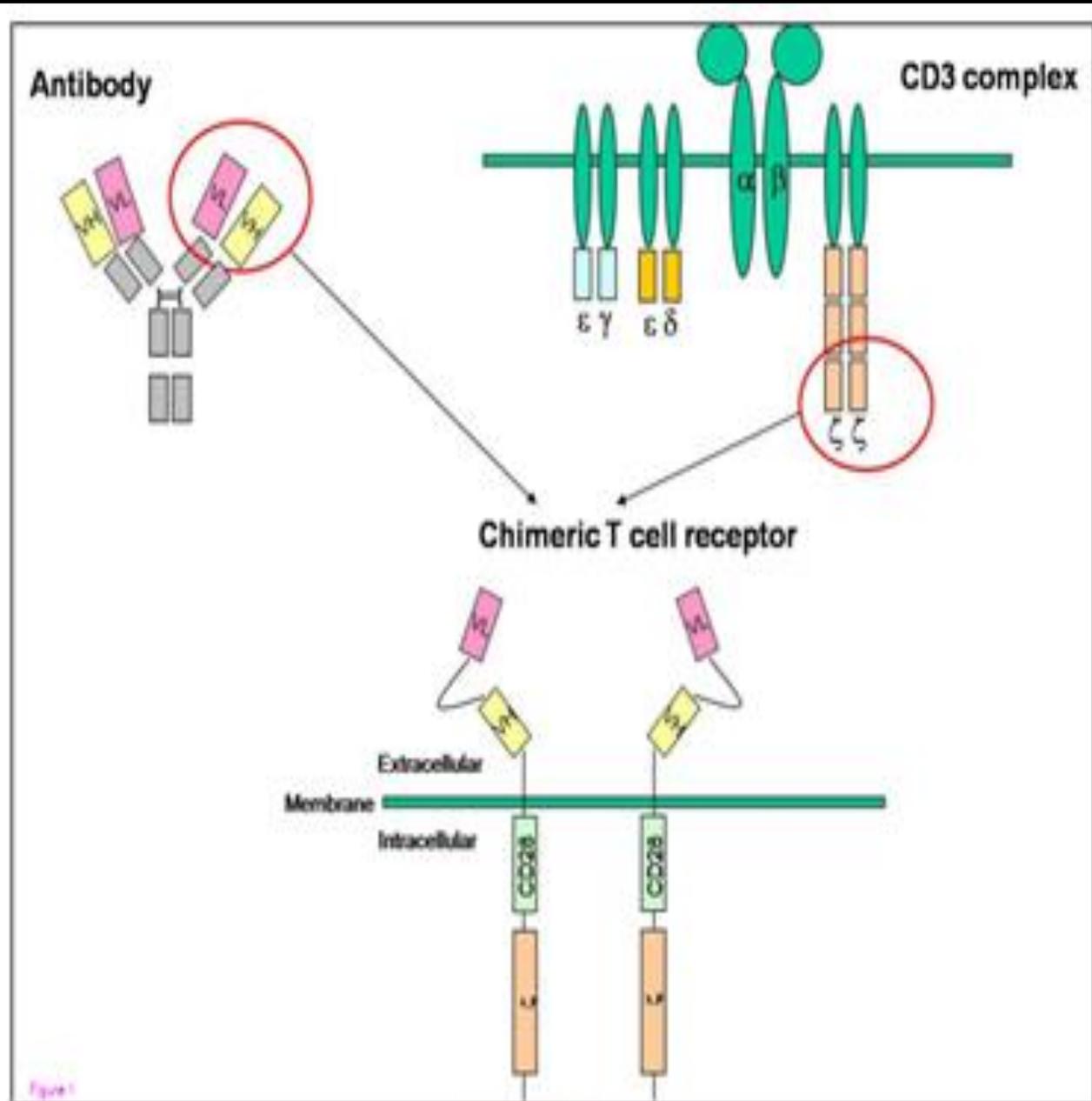


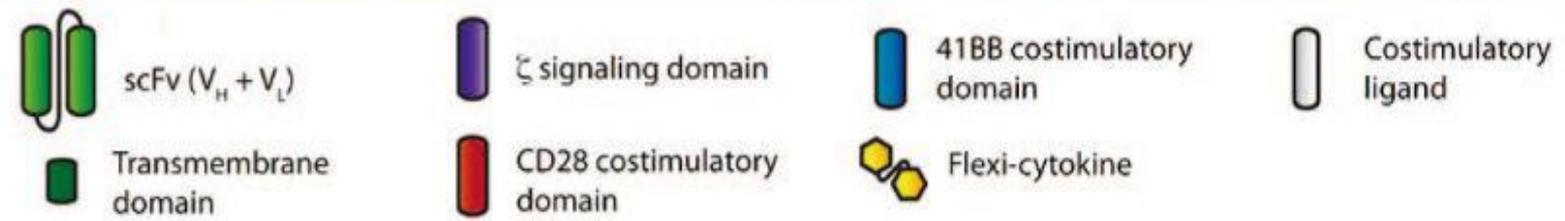
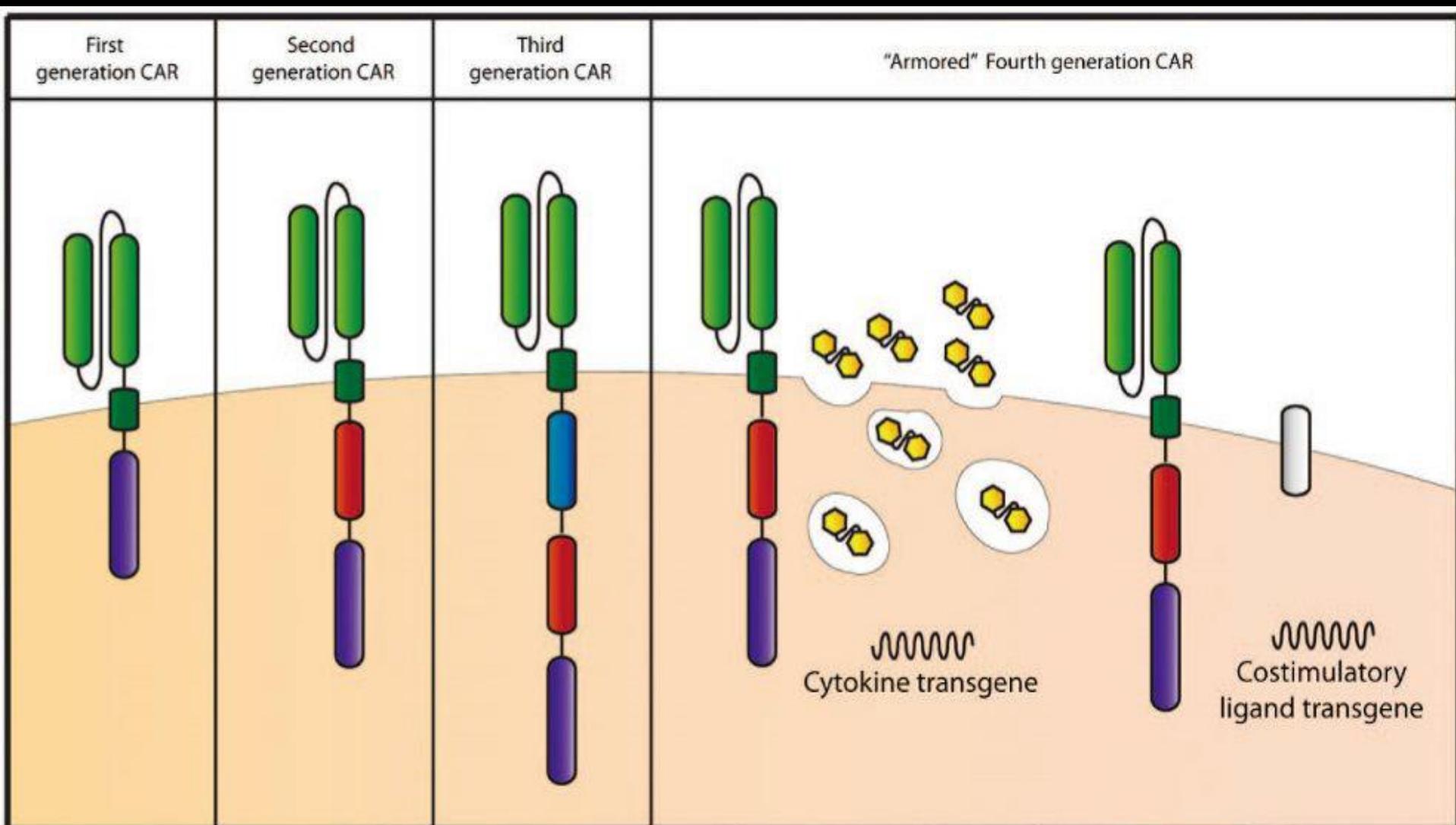
Fig. 4. Antitumor effect induced by the SKOV3 cells secreting **IL-21** and **GM-CSF** cytokines in the null mice.

**Jun D, Yongfang W, et al.**  
**Immunobiology. 2009**

# CAR-T(chimeric antigen receptor T cells)

**CAR-T**: 将能识别某种肿瘤抗原的抗体的抗原结合部与CD3- $\zeta$ 链或Fc $\epsilon$ RI $\gamma$ 的胞内部分在体外偶联为一个能表达嵌合蛋白基因，再转导进入患者的T细胞，使其表达**CAR**。患者的T细胞被“**重编码**”后，生成大量肿瘤特异性的**CAR-T**细胞。





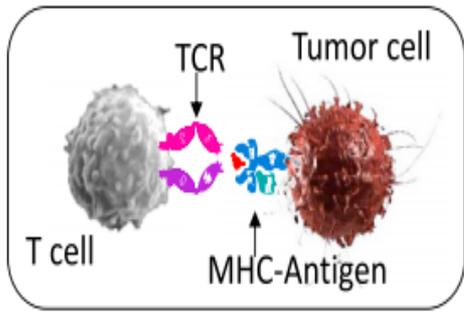
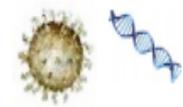
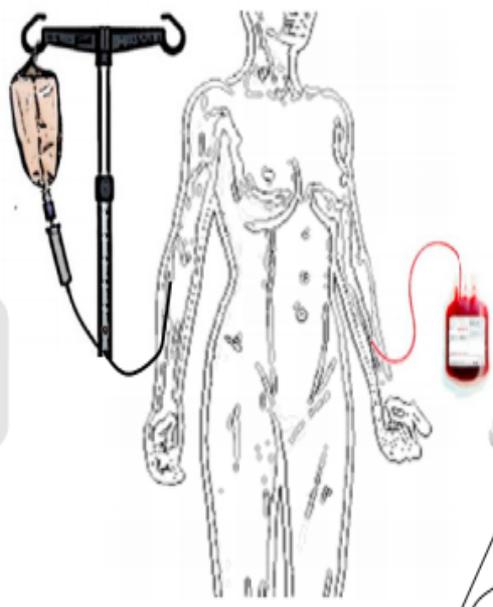
5. Close monitoring of tolerability, tumor kinetics and immune surveillance: rationale to combine with other therapies (e.g.: IMiDs or checkpoint inhibitors)

4. Lymphodepletion (preconditioning therapies) and reinfusion of gene modified T cells

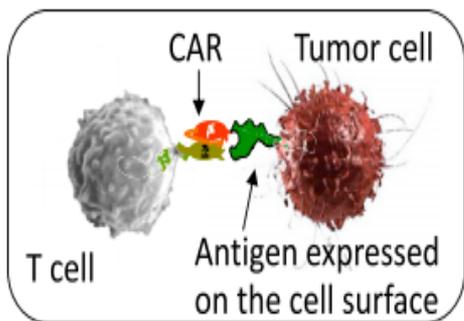
3. Ex vivo T cell expansion

1. Isolation of T cells from blood

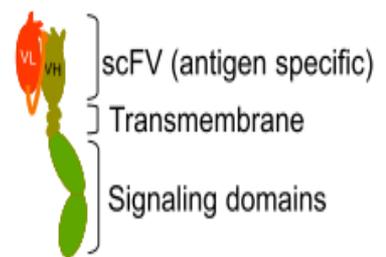
2. Gene modification of T cells to express CARs or TCRs with viral/non viral vectors



T cell receptor (TCR)  $\alpha$  and  $\beta$  chains



Chimeric Antigen receptor (CAR)



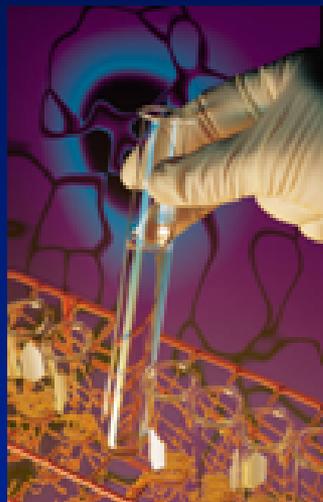
**Fig 1.** Gene Modification of Peripheral Blood Lymphocytes CAR T cells expressing on their surface a single-chain including the variable region of a monoclonal antibody (scFv) specific for a surface tumor antigen linked in tandem to the CD3z chain of the TCR complex and the endodomain of costimulatory molecules are not restricted by the HLA of the patient. Genetically modified TCR T cells express ab TCRs incorporated with an epitope that recognizes a tumor antigen. The therapeutic potential of TCR T cells depends on their affinity and avidity for the tumor derived T cell epitope. Several strategies have been developed to generate TCR with increased affinity for tumor antigens, most of them for HLA-A\*0201 restriction (expressed in almost 50% of Caucasians). It is important to note that a TCR with supra-physiologic affinity **might have an increased risk to damage normal tissues** that physiologically express the same target antigen even at a negligible level (**on-target toxicity**) or cross-react with an unrelated protein (**off-target toxicity**)

# Adoptive Cell Therapy (ACT) with Antigen Specific T-cells



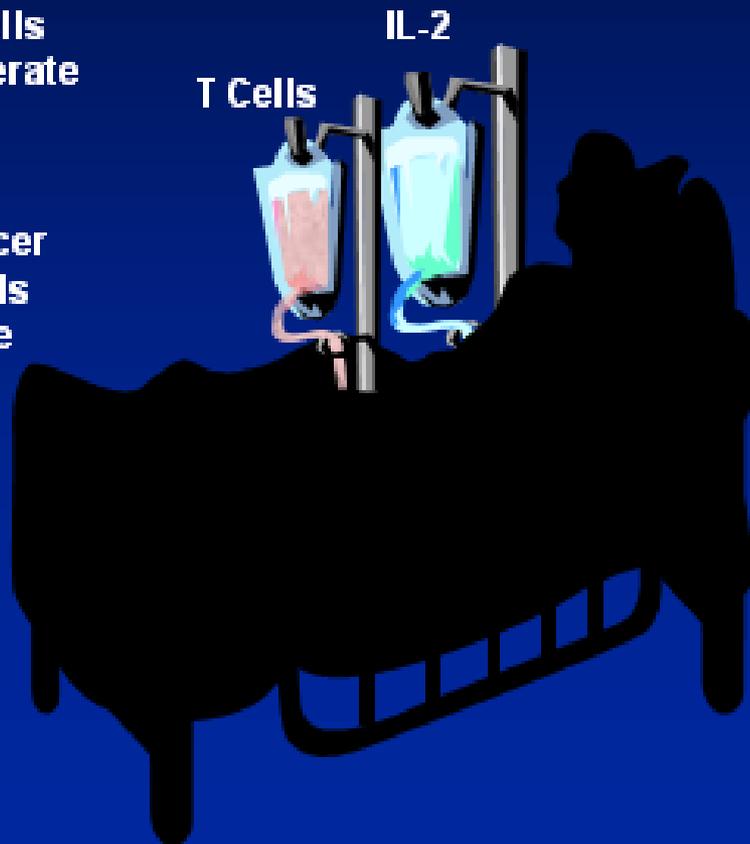
**Surgical  
Removal of  
Cancer Nodule**

**Single Cell Suspension  
Incubated with IL-2, anti-CD3**



**T Cells  
Proliferate**

**Cancer  
Cells  
Die**



# lymphopenia induced proliferation

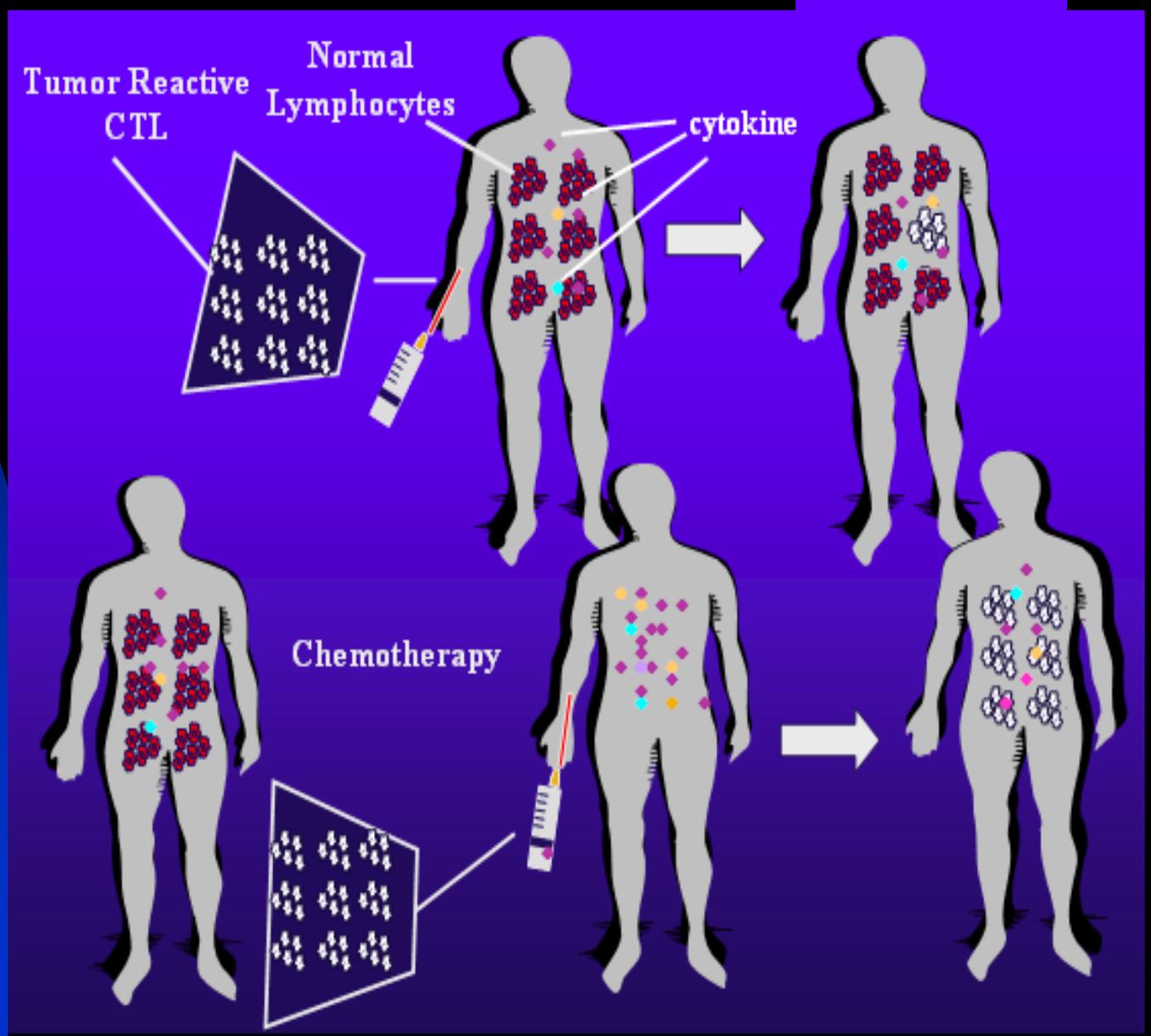


Mechanism:

Make "Space"

"Cytokine sink"

Eliminating  
Regulatory Cells



# Summary

- **1. Cancer reflects failure of immune system.**
- **2. There is a still a long way to go in cancer immunotherapy.**

**You may continue to further study the contains after the page.**

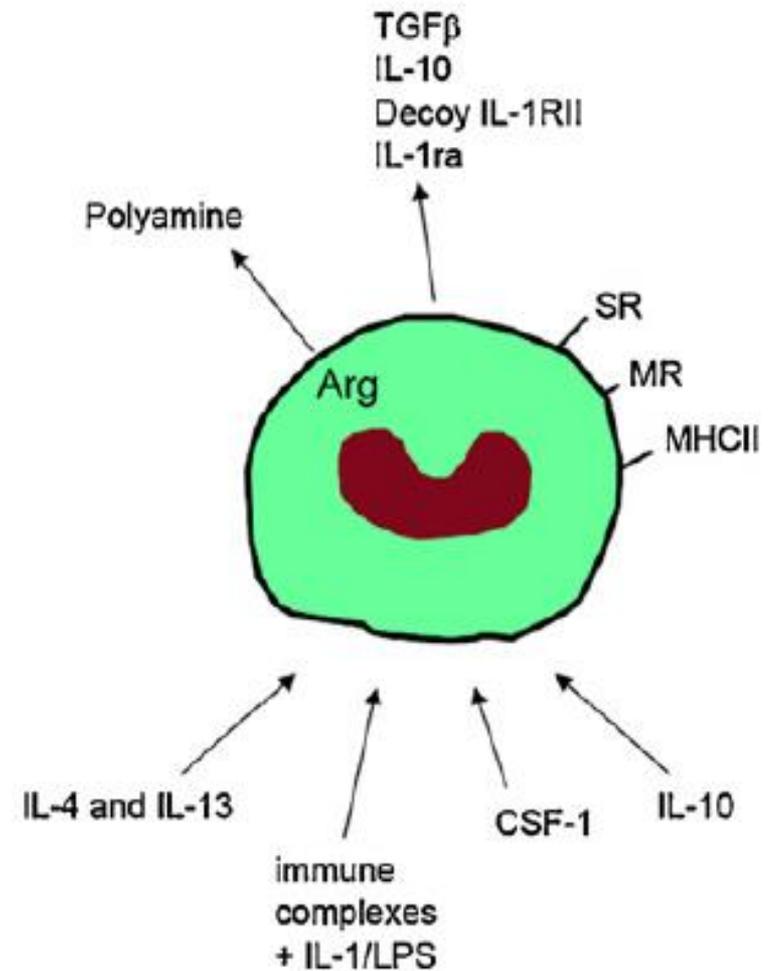
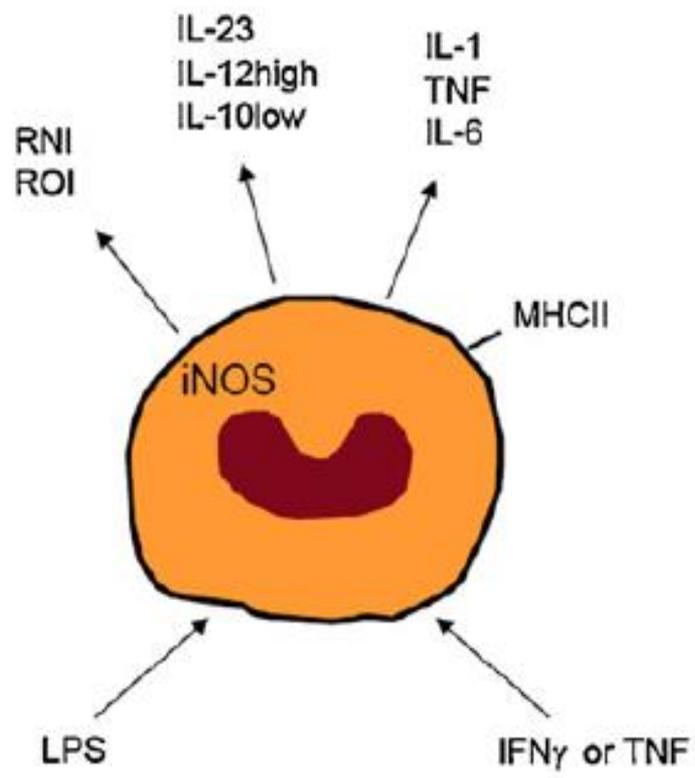
**M1**

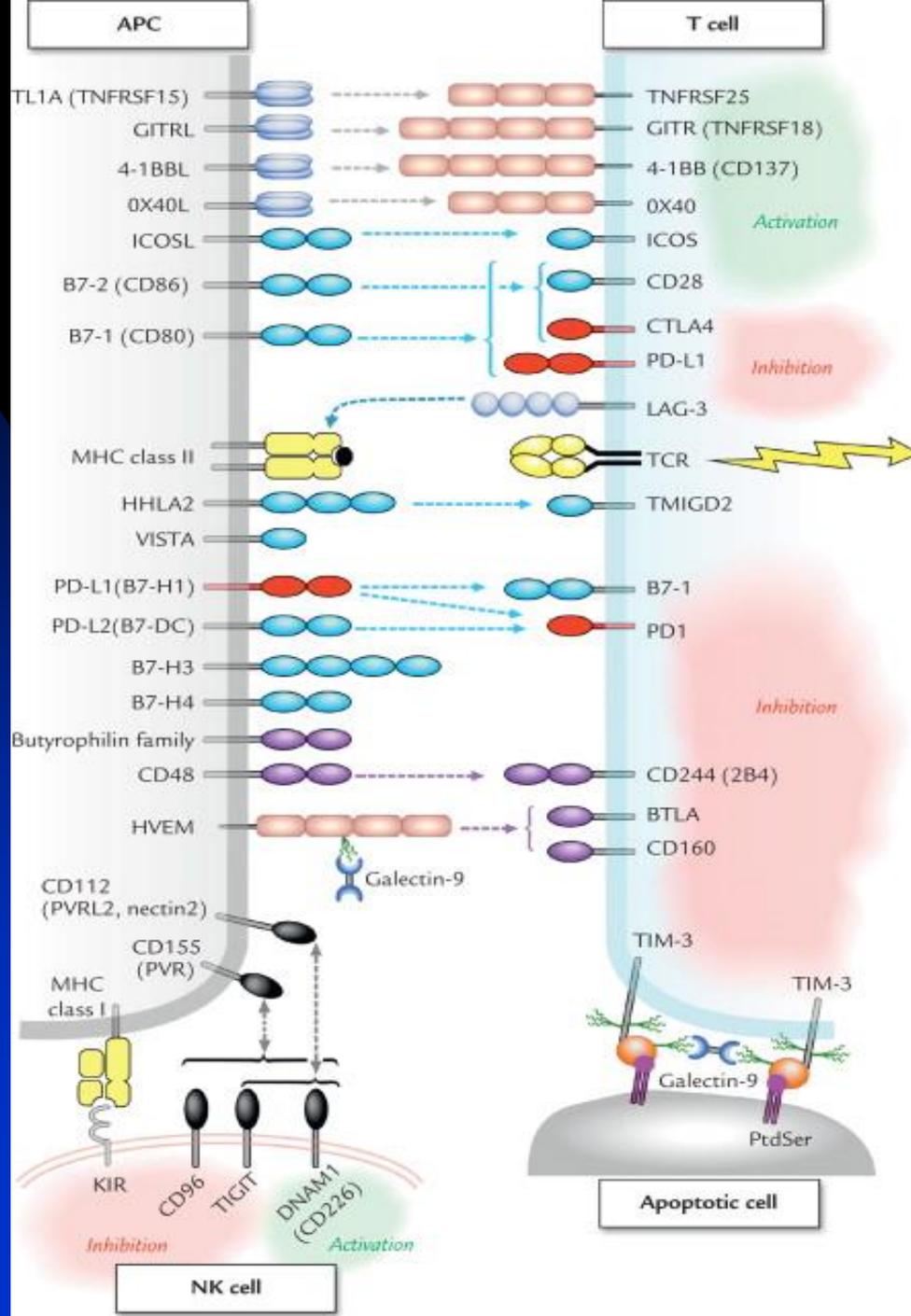
**M2**

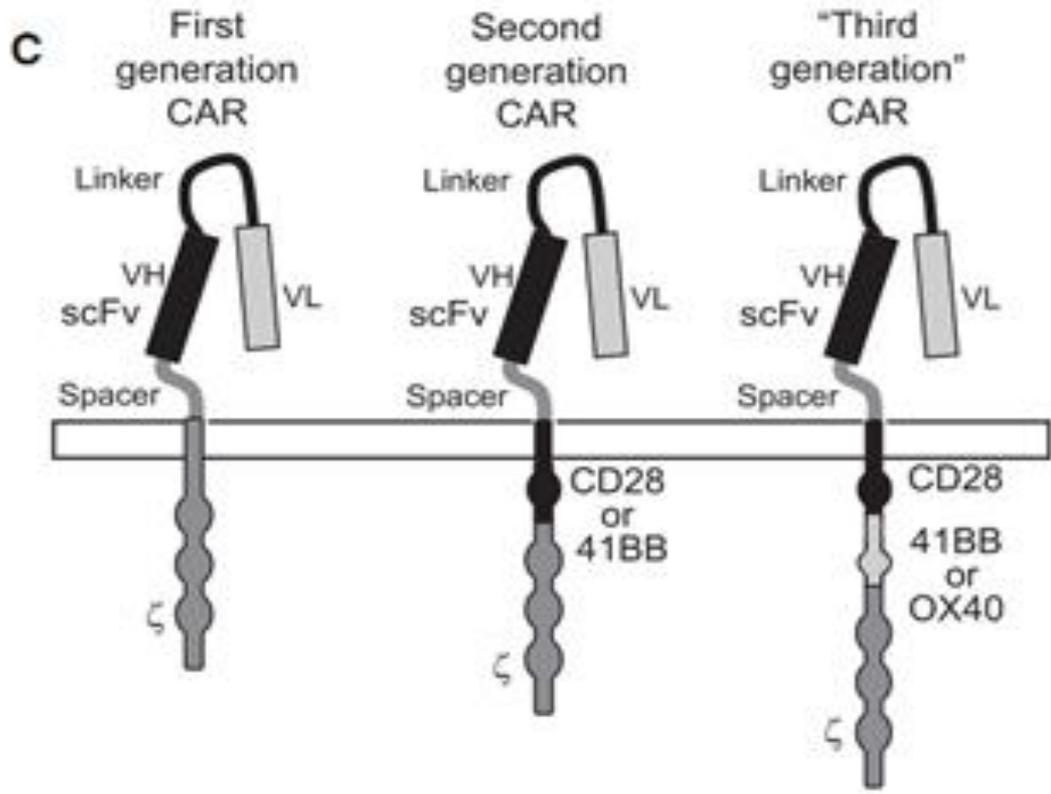
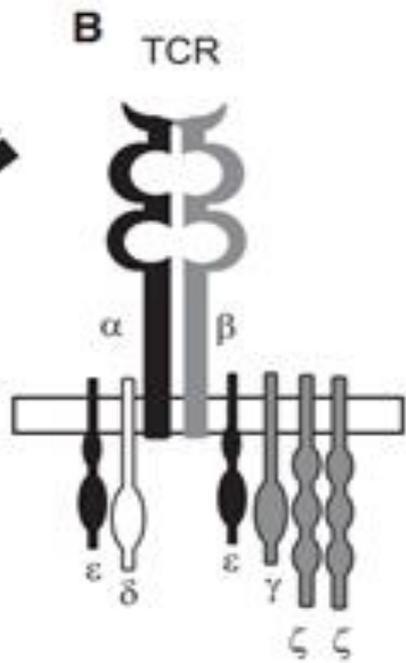
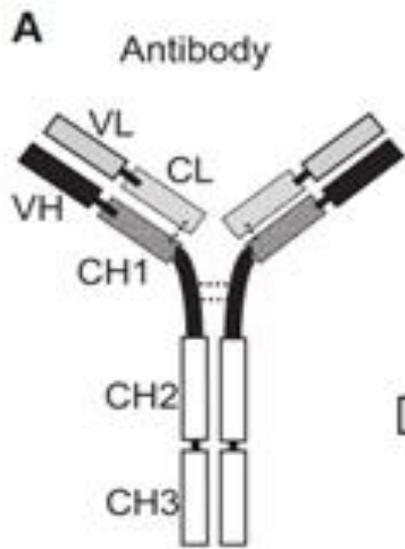
**FUNCTIONS**

Th1 RESPONSES  
TYPE I INFLAMMATION; DTH  
KILLING OF INTRACELLULAR PARASITES  
TUMOR RESISTANCE

Th2 RESPONSES;  
TYPE II INFLAMMATION; ALLERGY;  
KILLING AND ENCAPSULATION OF PARASITES;  
MATRIX DEPOSITION AND REMODELLING;  
TUMOR PROMOTION







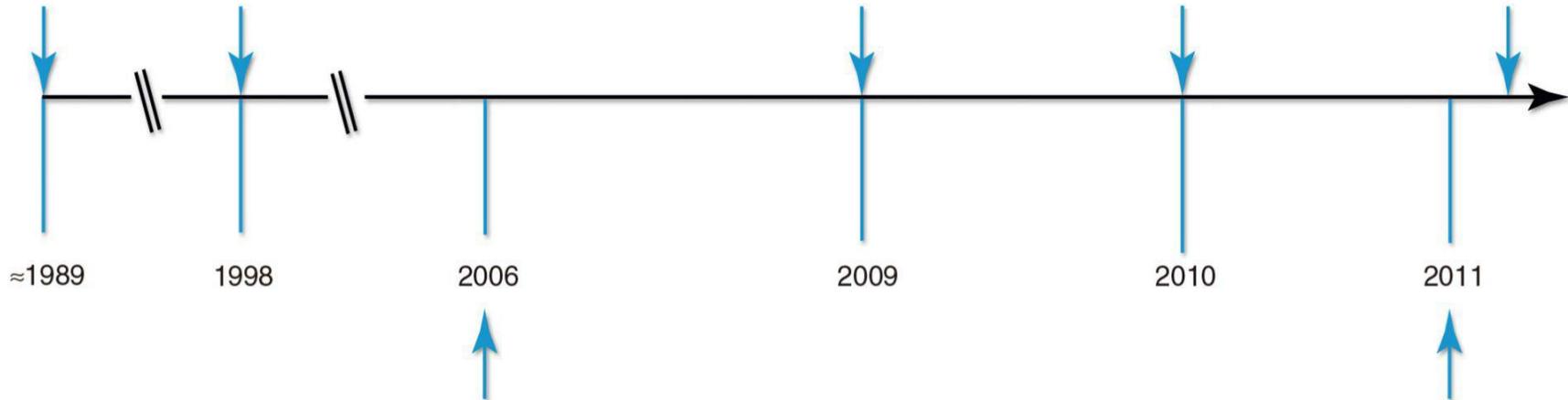
First proposal of CAR-T cell [10,11]

Preclinical studies with 2nd Generation CAR [17]

Preclinical studies with 3rd Generation CAR [21,22]

Treatment related mortality in 1 patient treated with 2nd generation CAR directed against Her2/neu (colon carcinoma) [27]

>20 CAR-T cell trials listed as open or due to open on clinicaltrials.gov database

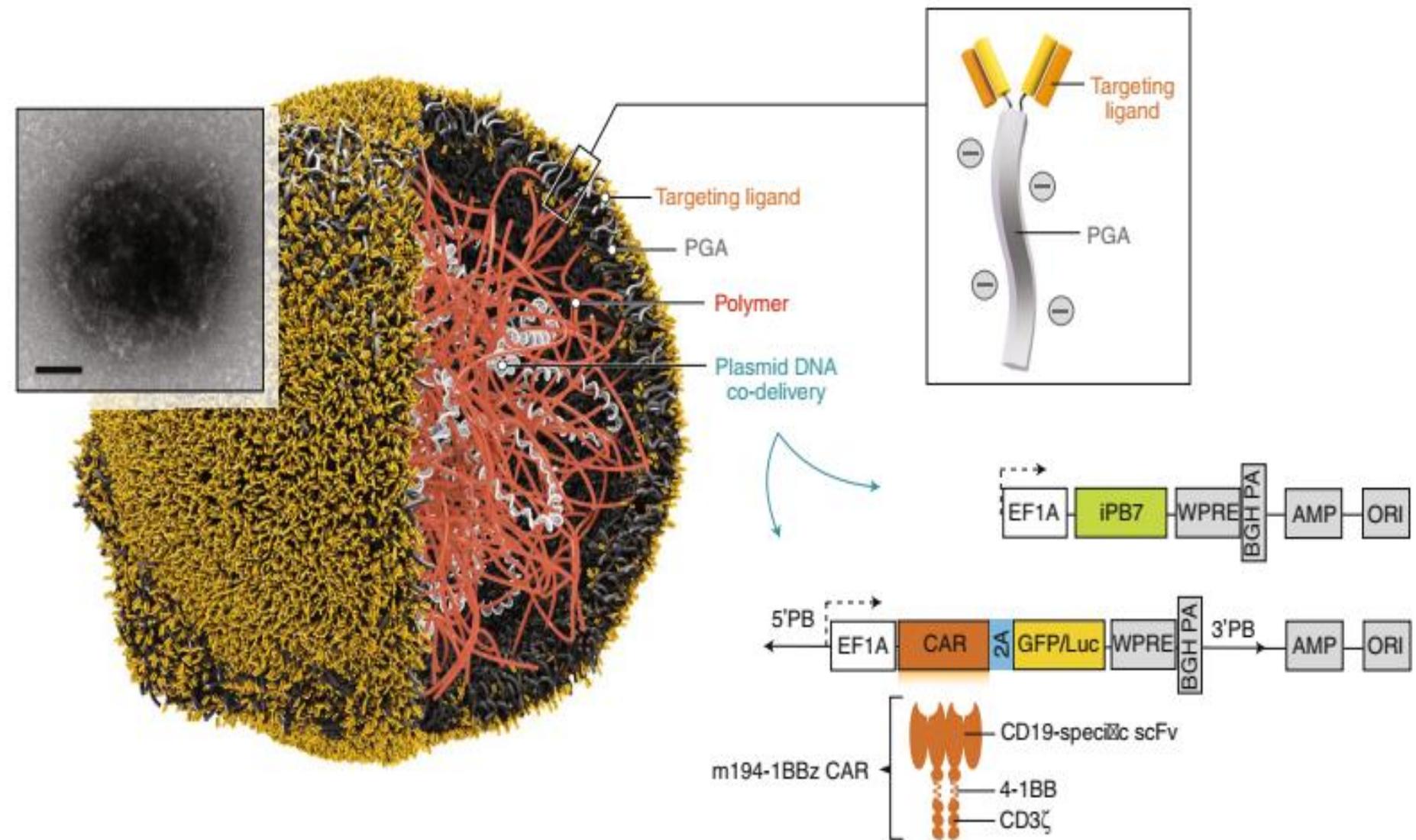


1st Generation CAR trials directed against CAIX (renal cell carcinoma) [25] or Folate receptor (ovarian carcinoma) [24] reported:  
- No clinical responses  
- Poor CAR-T cell persistence

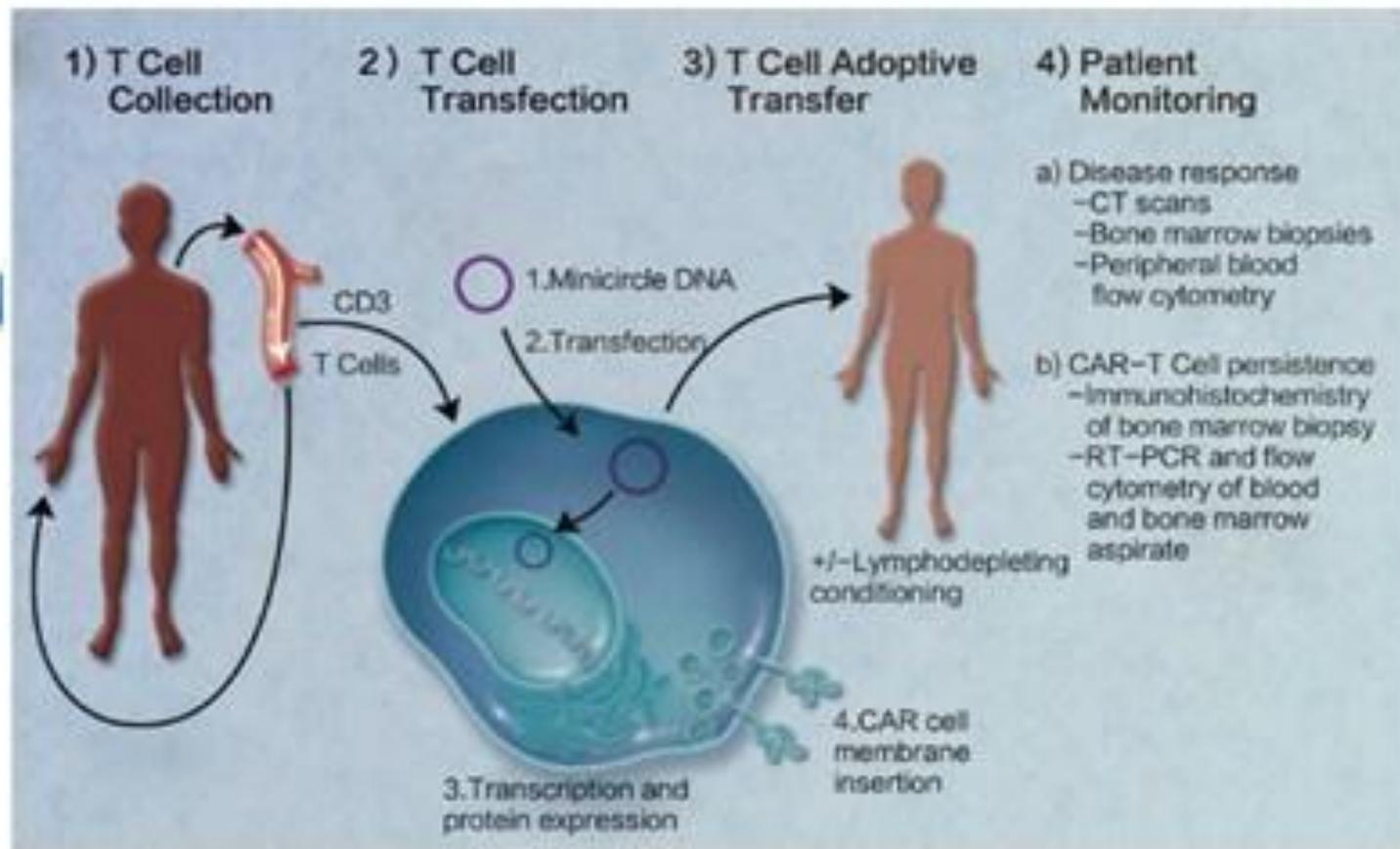
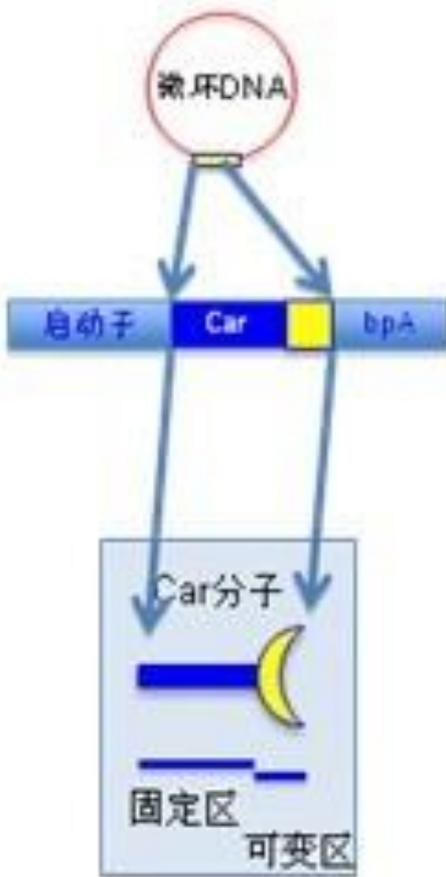
1st documented clinical evidence of CAR-T cell auto-toxicity [25]

2nd Generation CAR:CD137- $\zeta$  trial directed against CD19 (CLL) [5,6] reported:  
- Clinical responses  
- Prolonged CAR-T cell persistence

These findings are extended by 2nd Generation CAR:CD28- $\zeta$  trials directed against CD19 (CLL) [7-9]



**Fig. 5 | Targeted delivery of CAR genes to peripheral T lymphocytes in situ.** Plasmid DNA encoding a CAR, a microtubule-associated sequence, a nuclear-localization signal peptide and poly(beta-amino ester) polymer make up the scaffold of the nanoparticle, which is covered with PGA-tailed anti-CD3 $\zeta$  f(ab')<sub>2</sub> via electrostatic interactions. The two plasmids encode an all-murine 194-1BBz CAR and the hyperactive iPB7 transposase. Scale bar, 100 nm. EF1A, eukaryotic translation elongation factor 1 alpha 1; BGH PA, bovine growth hormone polyadenylation signal; AMP, ampicillin resistance gene; ORI, origin of replication. Figure reproduced from ref. <sup>113</sup>, Springer Nature Ltd.



左：微环 DNA 示意图

右：CAR-T 细胞治疗流程

基于微环DNA(MC)技术的MC-CAR-T细胞治疗技术。微环DNA是世界上最好的基因载体，与现有的基于病毒载体的技术相比，具有转染率高、基因表达持久的优点、同时具有非病毒载体毒性低、免疫反应低，携带基因不整合至宿主细胞基因组等优点。



2012



2013



2014



2015

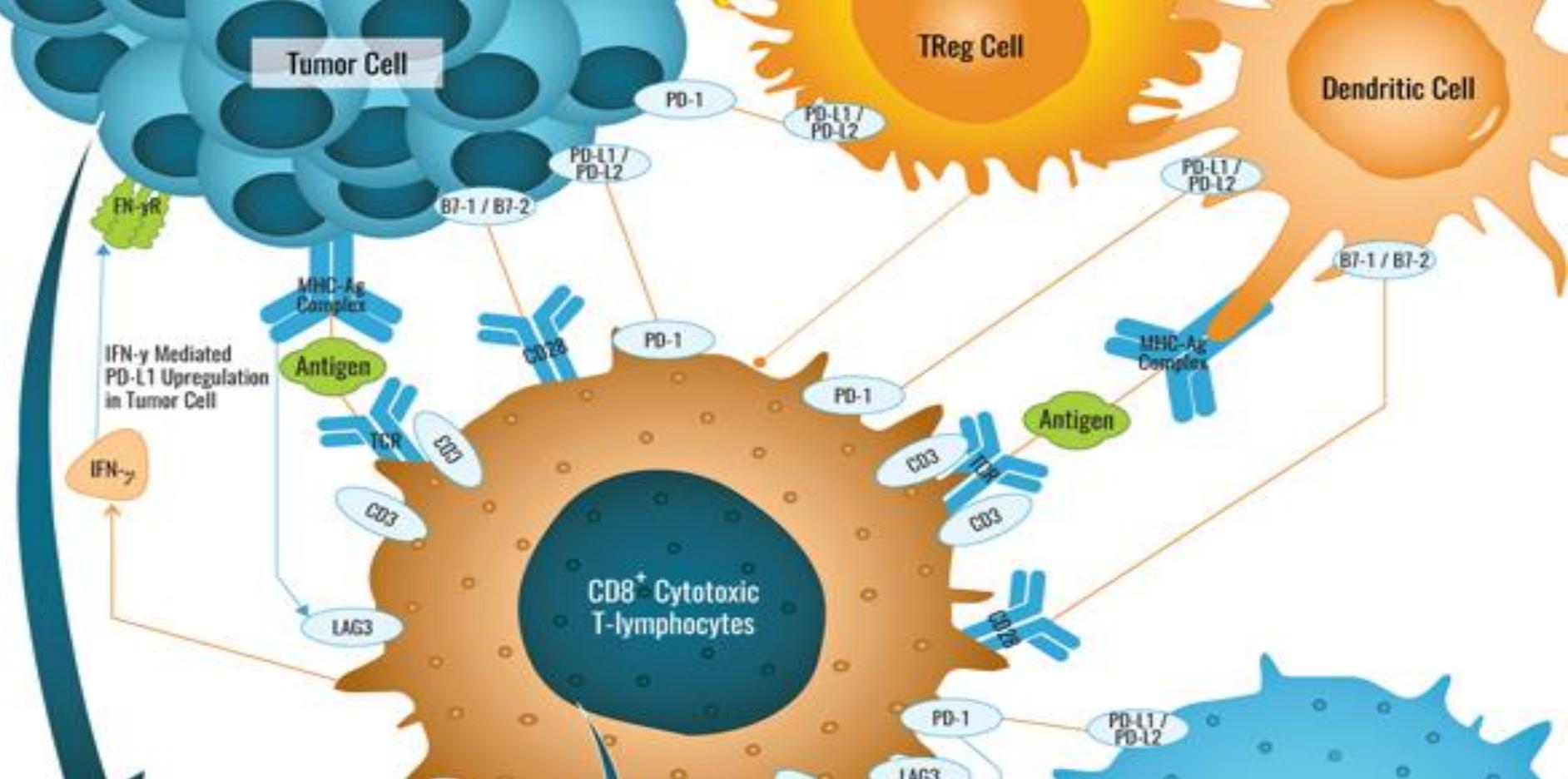


**nature  
medicine**

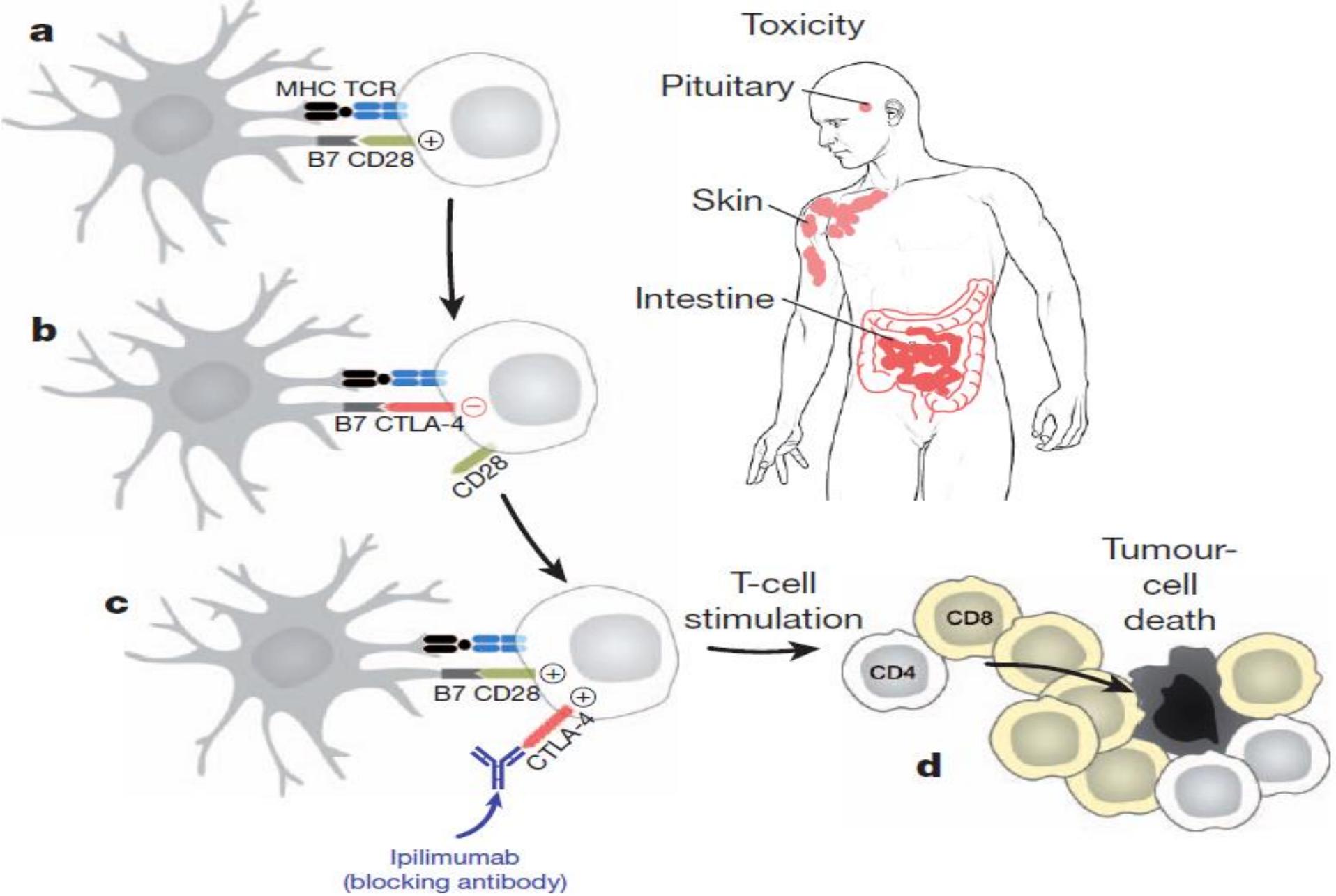
**BRIEF COMMUNICATION**

<https://doi.org/10.1038/s41591-018-0201-9>

# Induction of resistance to chimeric antigen receptor T cell therapy by transduction of a single leukemic B cell



**PD-1/PD-L1 Pathway in Cancer:** In normal conditions, mutated antigens from tumor cells will lead to the activation of T cells that bind to and trigger apoptosis in target cancer cells. On the surface of T cells are PD-1 (programmed cell death-1) receptors whose ligands include PD-L1 and PD-L2. Together, PD-1 and PD-L1/PD-L2 are involved with inhibiting T cell response so that the immune response is only initiated when necessary, avoiding chronic autoimmune inflammation. However, tumor cells have utilized the PD-1/PD-L1 pathway to resist anticancer immune responses by producing abnormally high levels of PD-L1. When the PD-1 ligands bind to the PD-1 receptors on T cells, the T cells become deactivated and anti-tumor activity is obstructed.



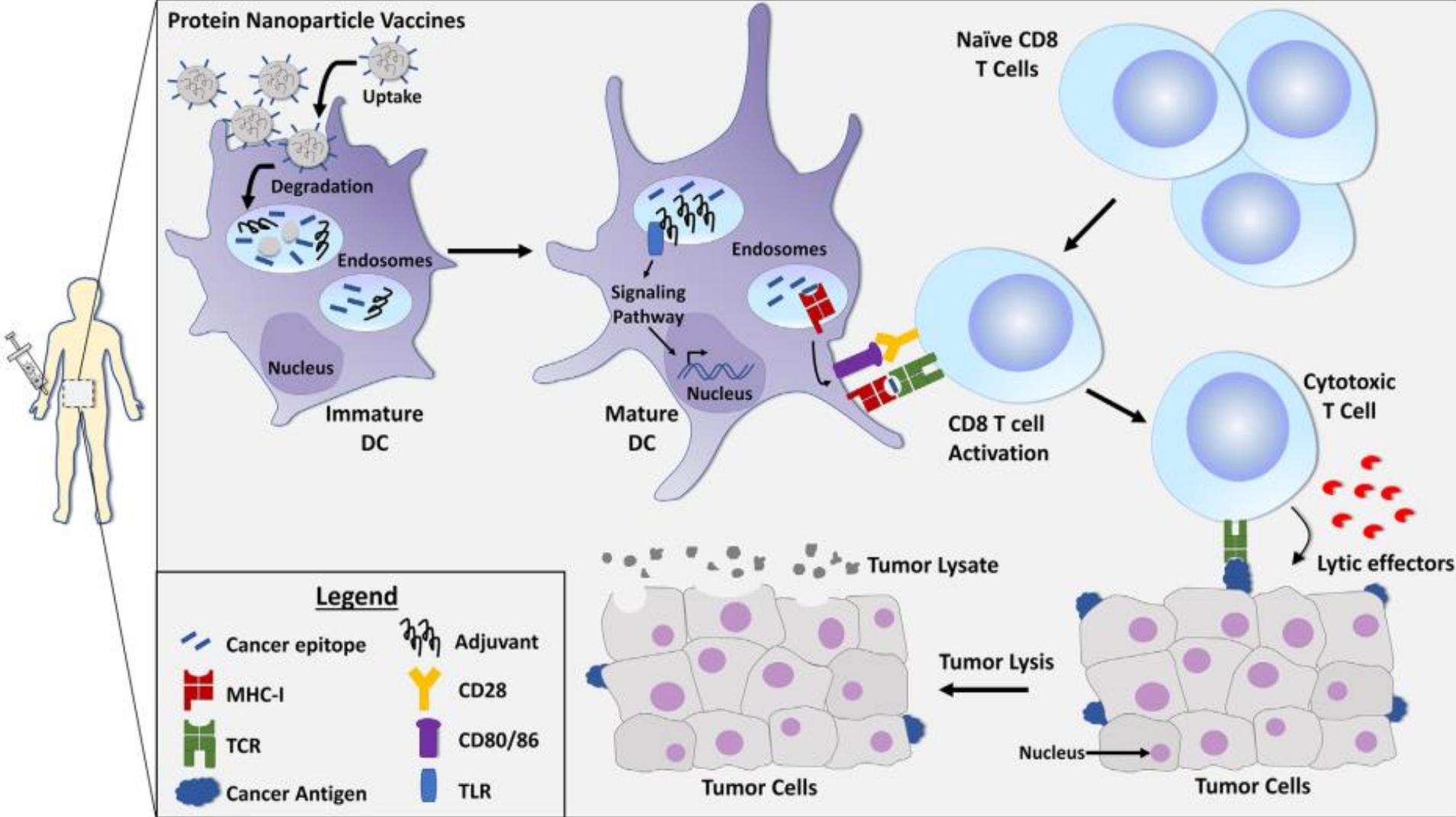
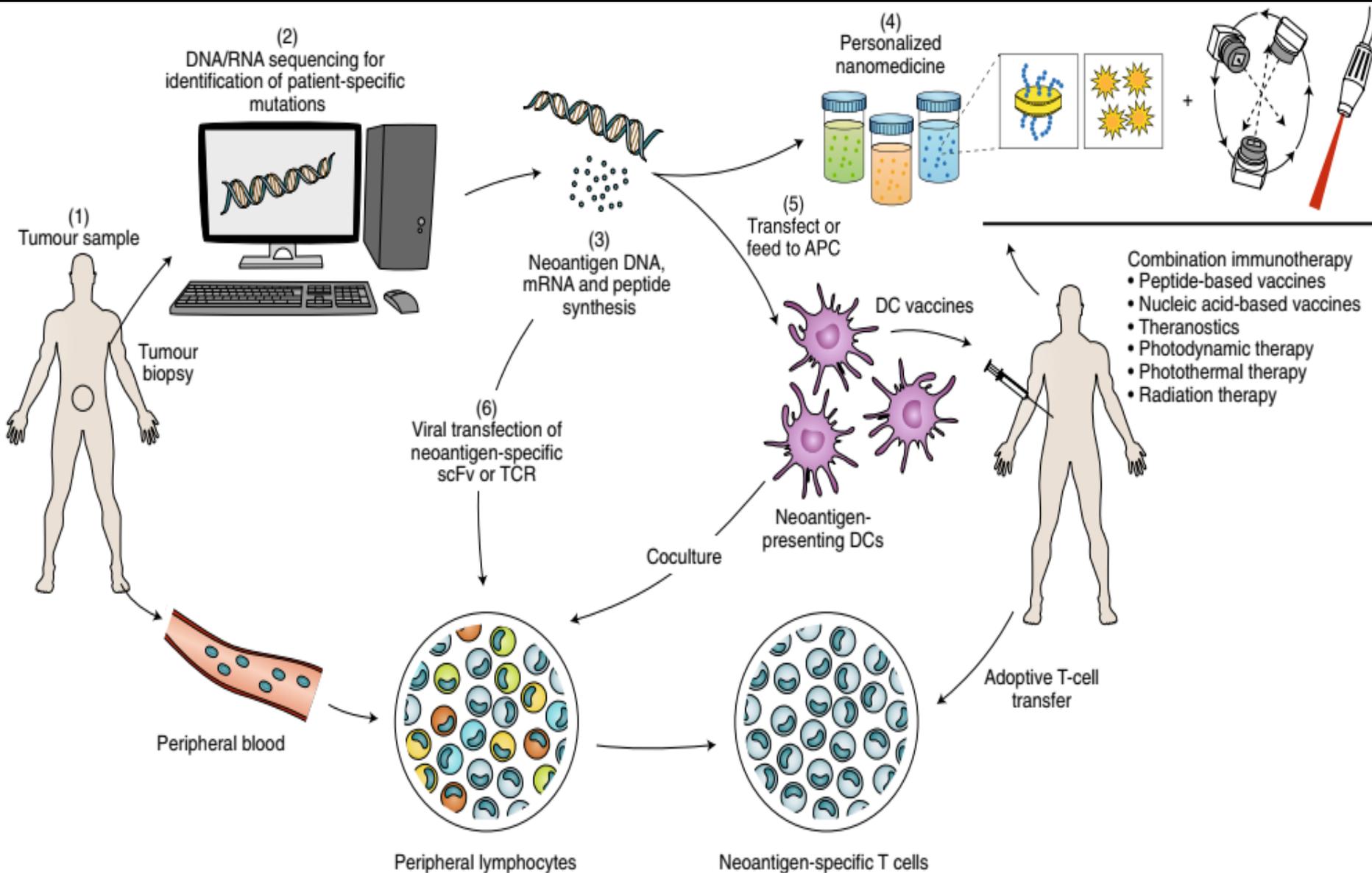
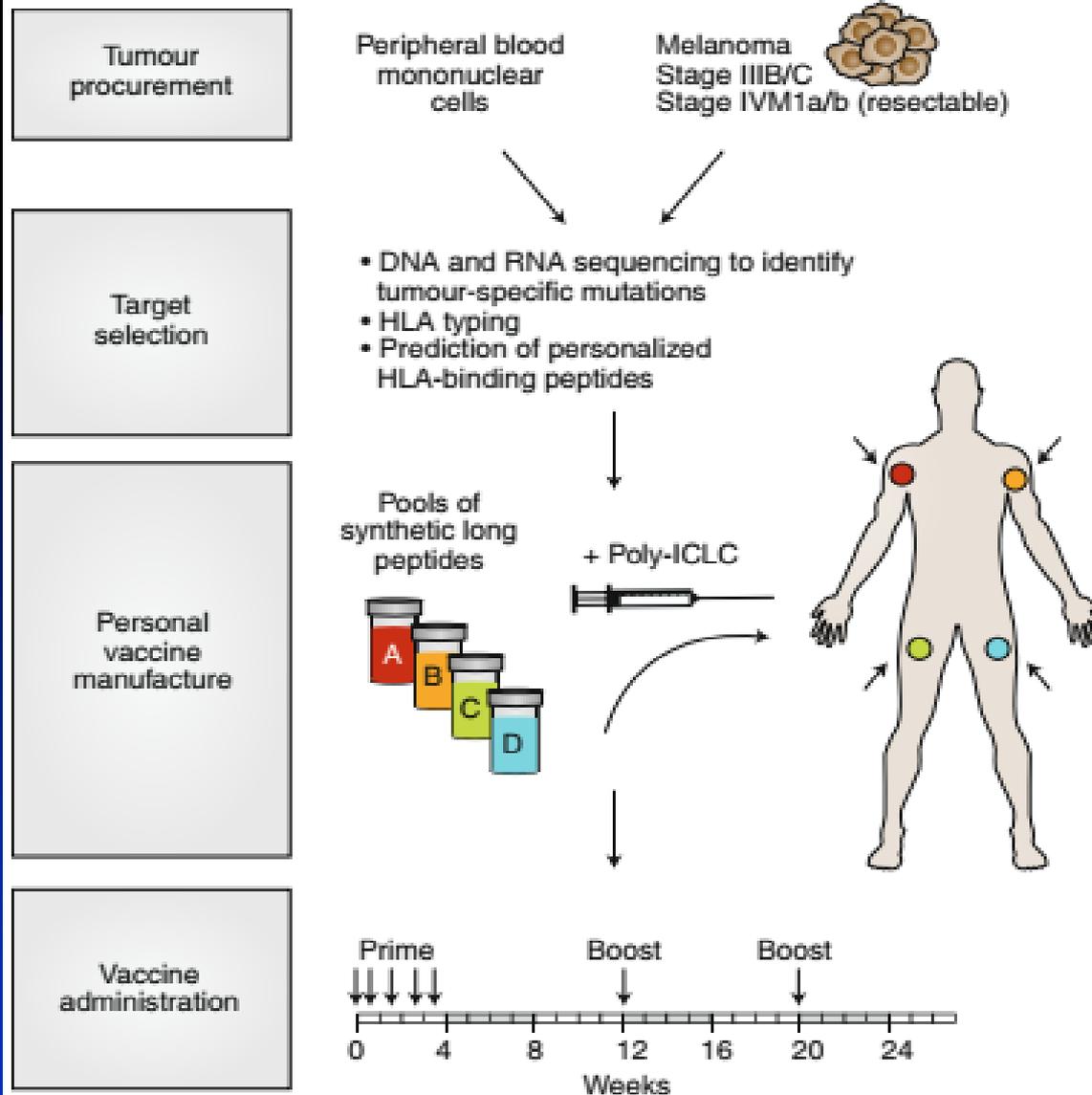


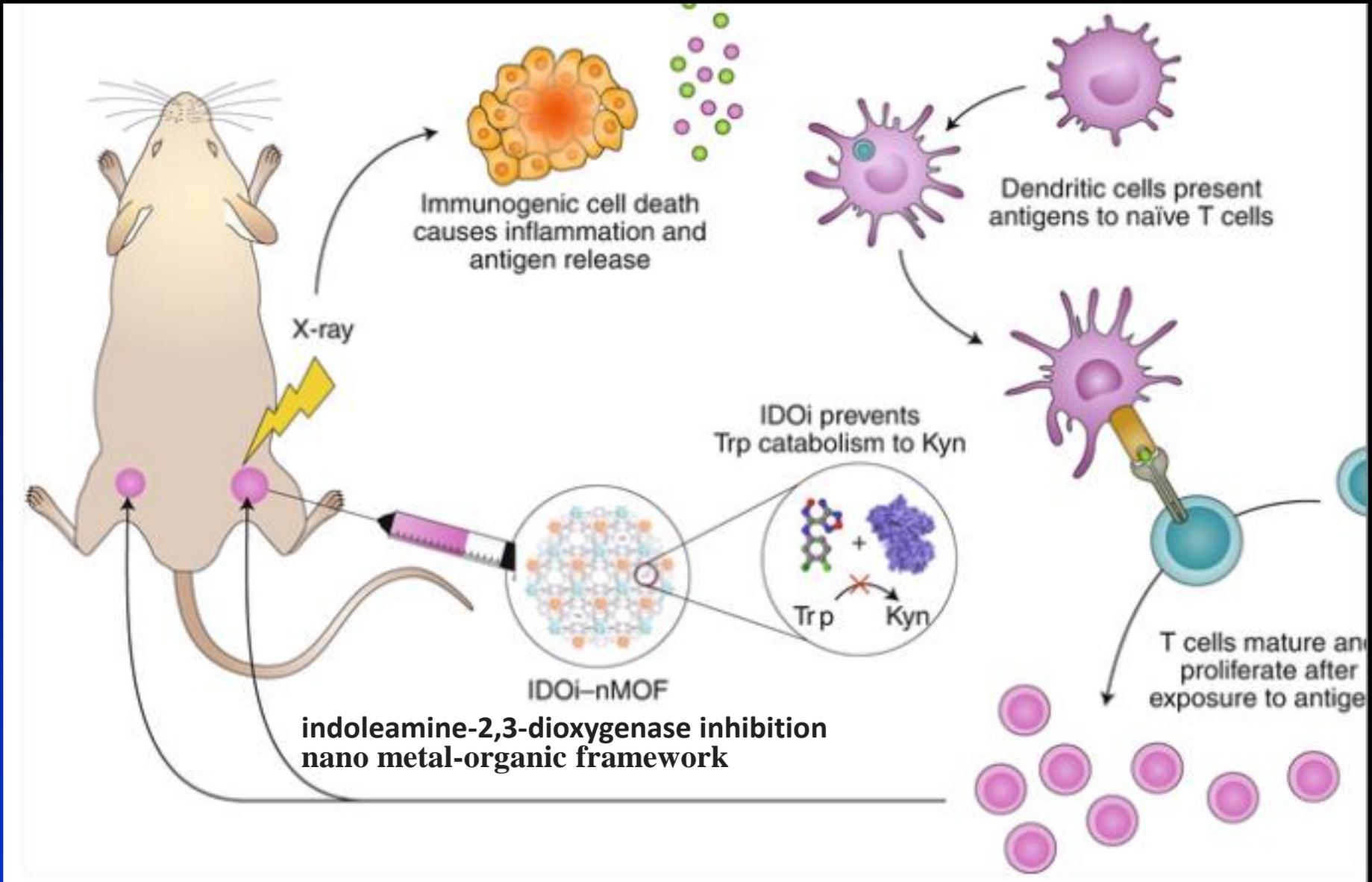
Figure 1. Common mechanism of tumor cell elimination. Protein nanoparticle (NP) cancer vaccines that are injected can accumulate in the LNs and spleen. Immature DCs residing in these tissues internalize and degrade the NPs and process the associated antigens and adjuvants for potential danger signals. If DCs are activated through an adjuvant-TLR interaction, they present the antigens to the T cells in the context of MHC-I molecules for specific and longer-term T cell responses (cross-presentation). Upon T cell activation and recognition of tumor-associated antigens on cancer cells, T cells secrete lytic effectors (such as perforin), leading to tumor lysis and elimination. Abbreviations in the figure include: MHC-I (major histocompatibility complex, class I), TCR (T-cell receptor), CD28 (cluster of differentiation 28, costimulatory molecule), CD80/86 (cluster of differentiation 80/86, costimulatory molecules), TLR (Toll-like receptor).

# Engineering approaches for personalized immunotherapy

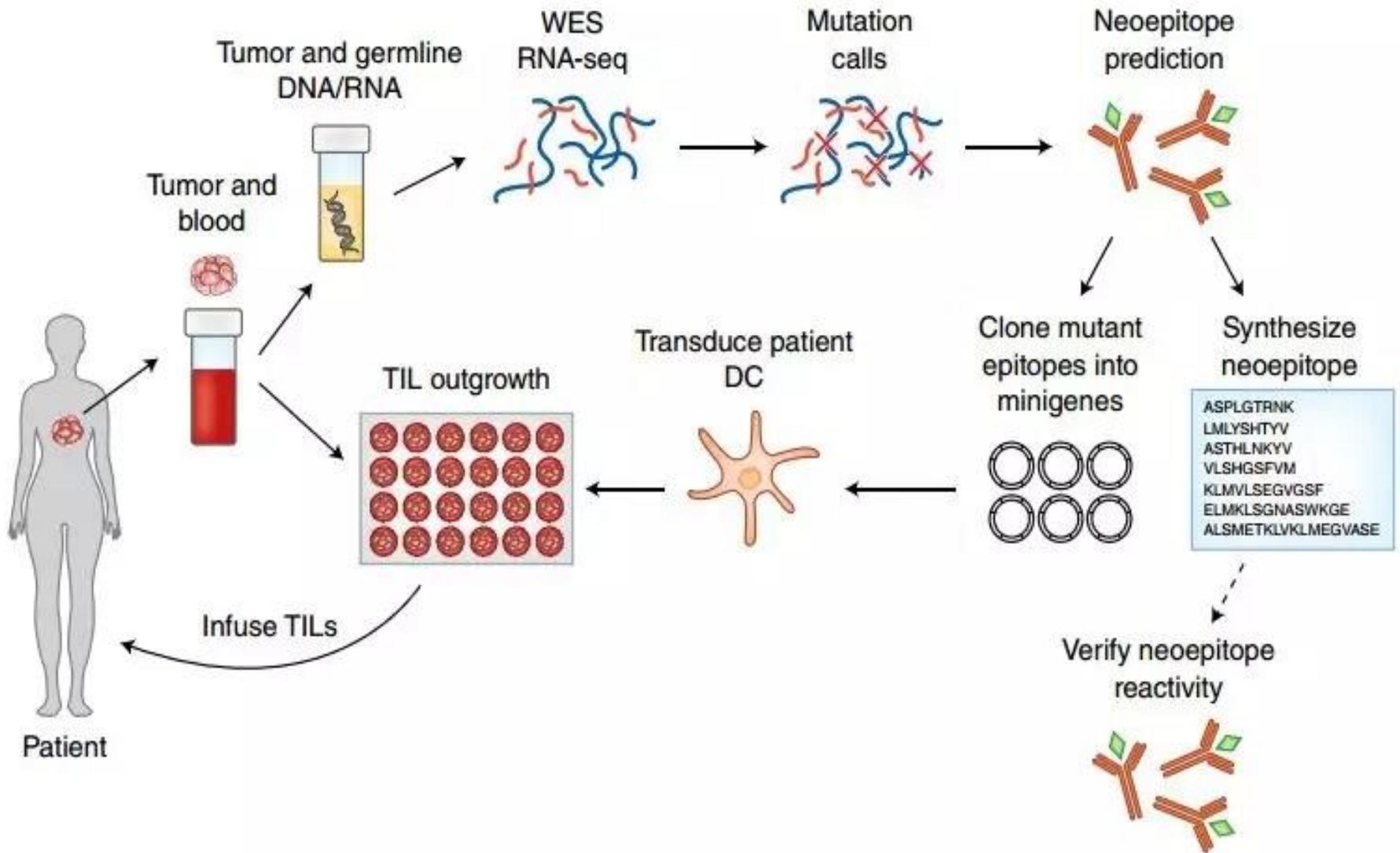




**Fig. 2 | Preparation process for a personalized vaccine.** Matched tumour-cell and normal-cell DNA from peripheral blood mononuclear cells and resected tumours are compared by whole-exome sequencing to detect mutations. Candidate neoantigen peptides are selected, synthesized and used for therapeutic vaccination in corresponding patients with poly-ICLC adjuvant. HLA, human leukocyte antigen. Figure reproduced from ref. <sup>27</sup>, Springer Nature Ltd. Poly-ICLC: poly-L-lysine and carboxymethyl cellulose



Trp:tyrosinase-related protein



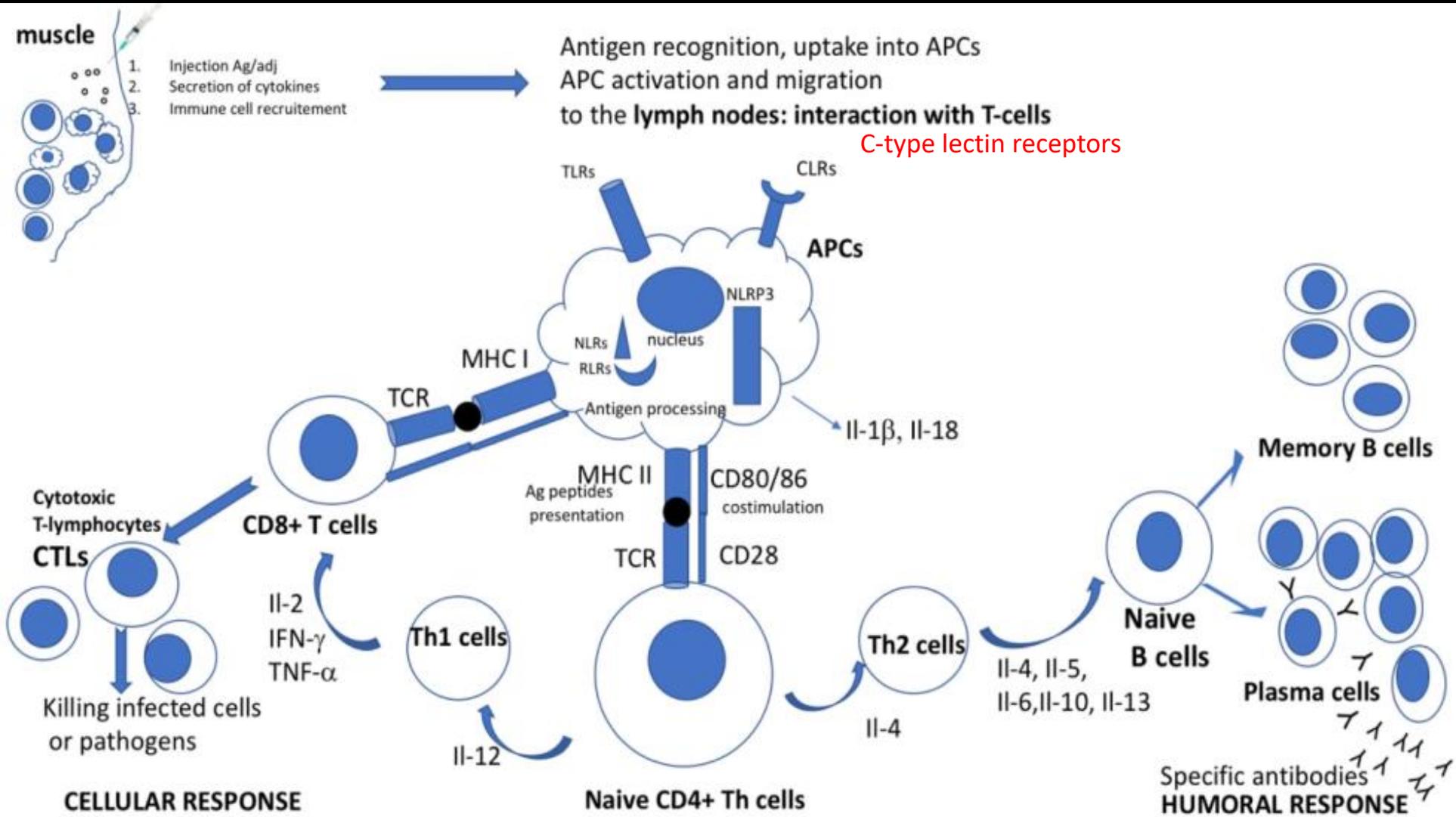
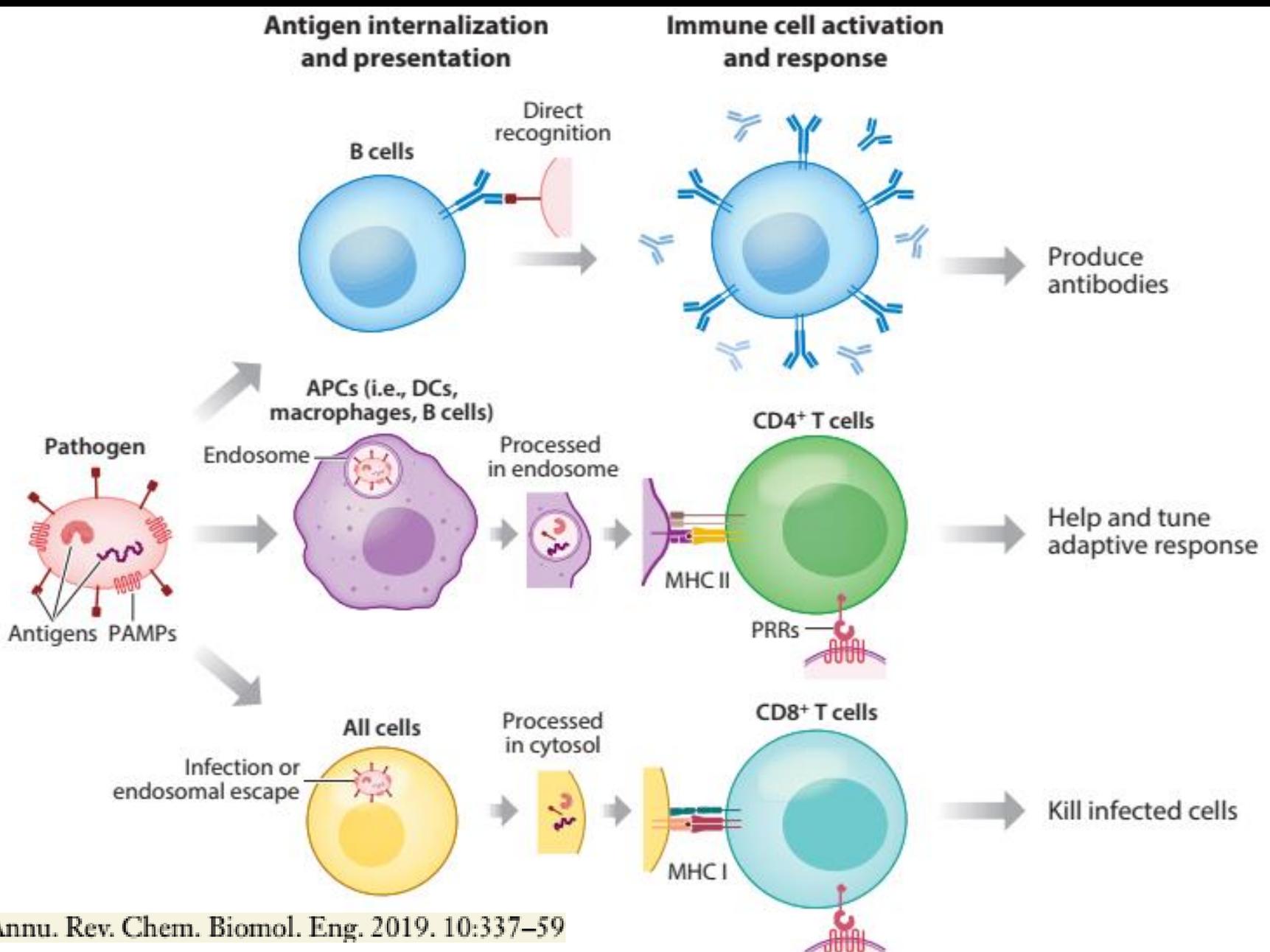
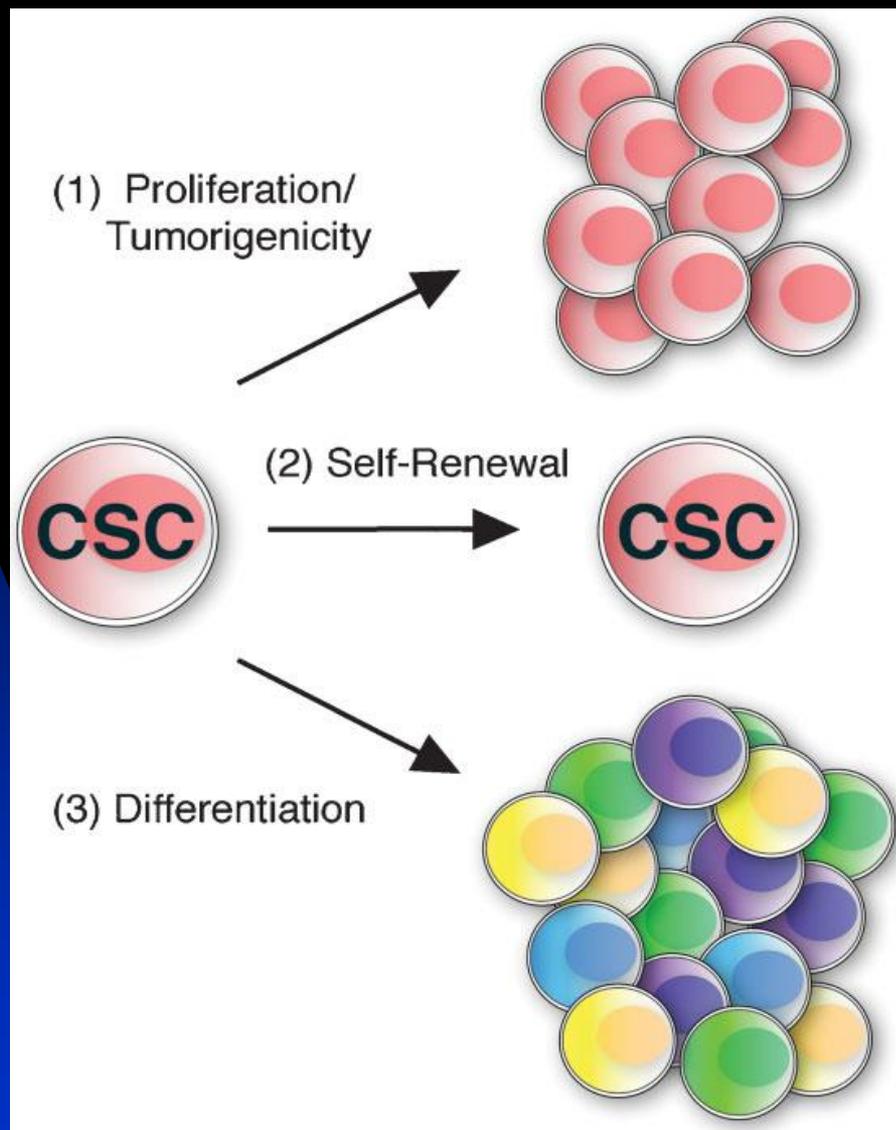


Fig. 1. Schematic representation of the mechanism of action of an adjuvanted vaccine (adapted from Awate et al., 2013; Reed et al., 2013; Lacaille-Dubois and Wagner, 2017).

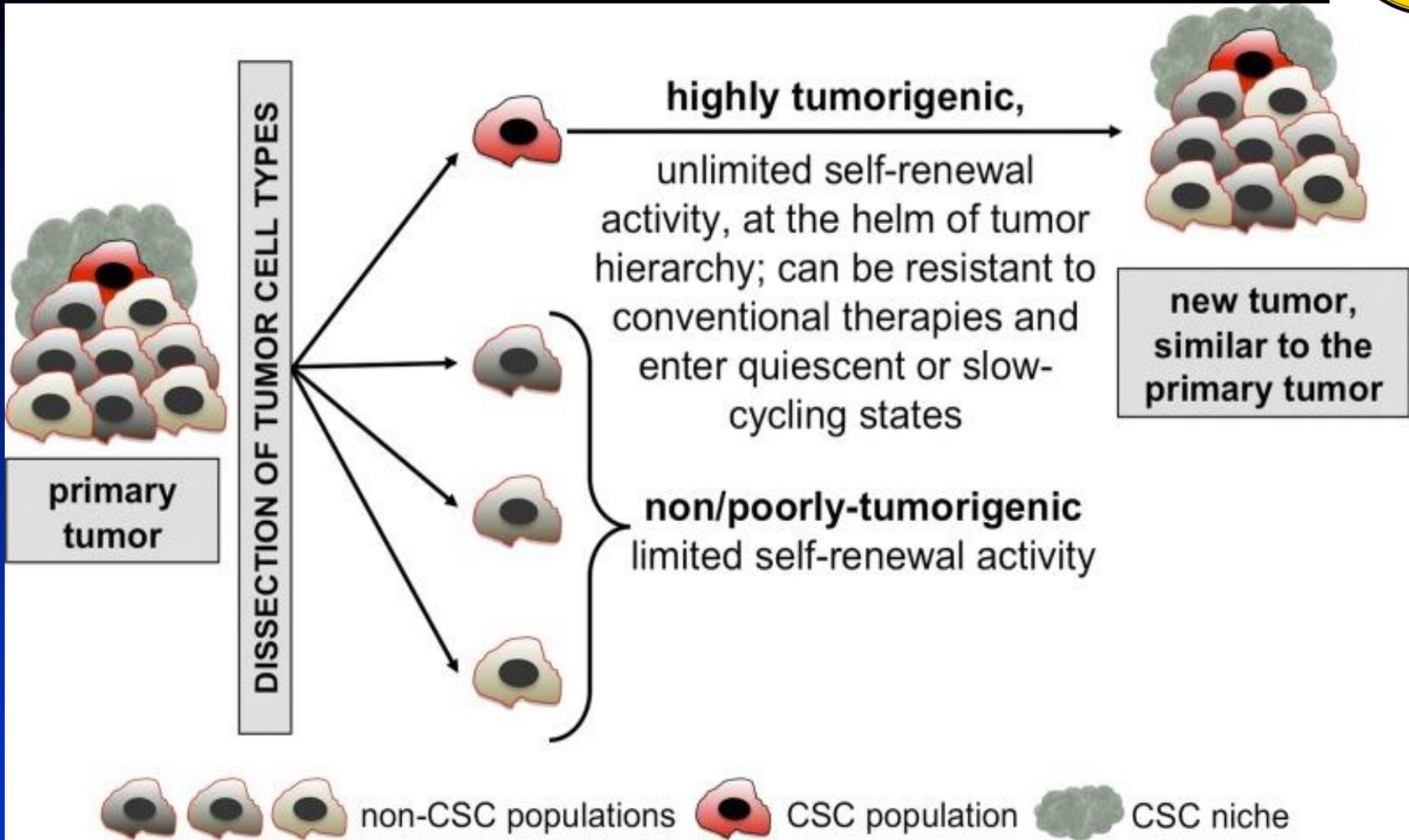


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Summary of possible adaptive immune response pathways. Abbreviations: APC, antigen presenting cell; DC, dendritic cell; MHC, major histocompatibility complex; PAMP, pathogen-associated molecular pattern; PRR, pattern recognition receptor.

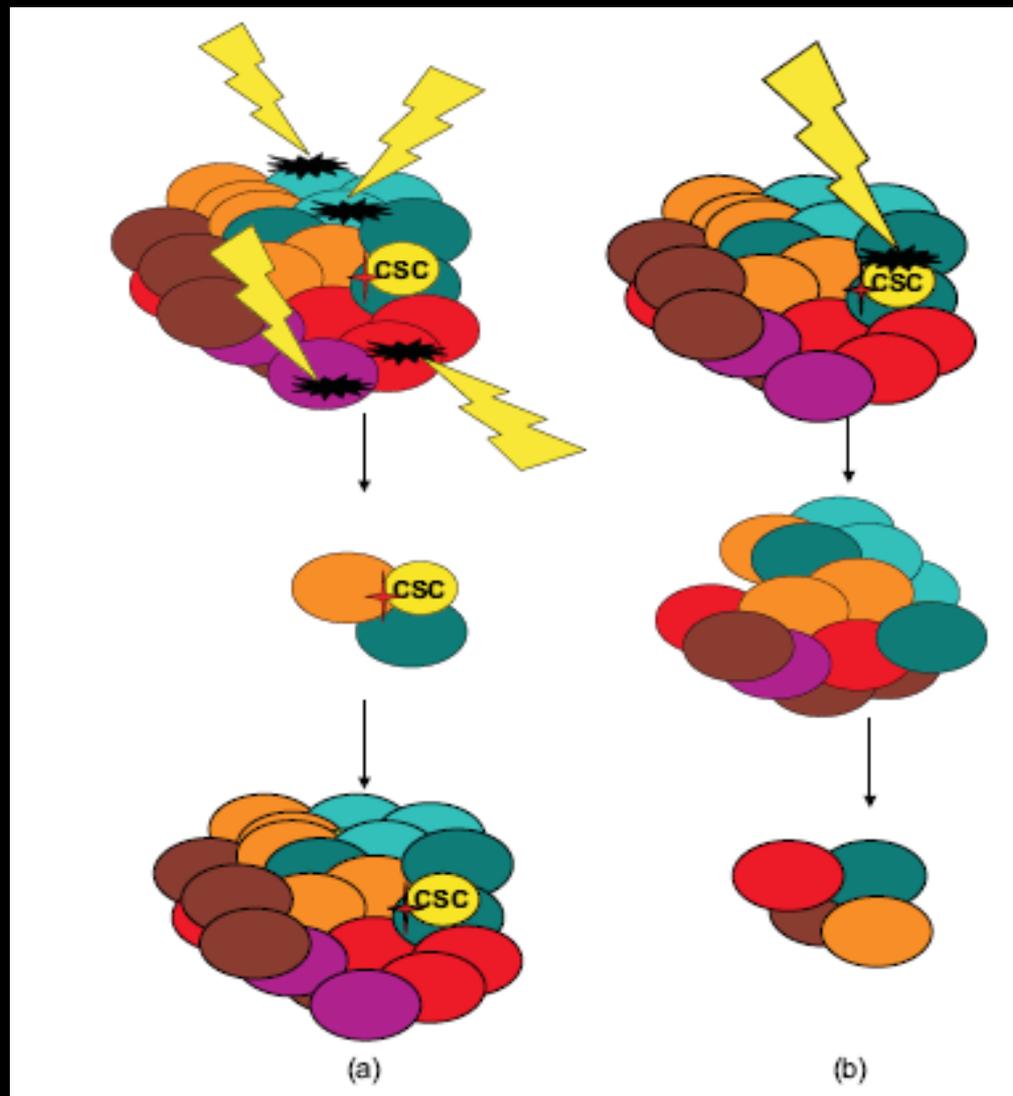


**The cardinal features of CSC.**



## The classical “cancer stem cell” (CSC) concept.

## How to targeted Therapy of CSCs?



**Targeted therapeutic strategy for cancer stem cells.**

**(a) Conventional therapy (b) Novel cancer therapy CSCs.**

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# TSC

tumor stem cell

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## Concepts:

1. TAA and TSA
2. CAR-T, CSC/TSC
3. Tumor immunological escape
4. Cancer immunotherapy
5. Immune checkpoint blockade

## Questions:

1. In what ways do tumor cells differ antigenically from normal cells? Please explain how tumor cells may be destroyed by the immune system!
2. If tumor cells can be destroyed by the immune system, how does cancer develop? What does immune cells and molecules involve?